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Moderating effects of sex on the impact of diagnosis and amyloid positivity on verbal memory and hippocampal volume

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Abstract

Background: Alzheimer's disease (AD) impacts men and women differently, but the effect of sex on predementia stages is unclear. The objective of this study was to examine whether sex moderates the impact of florbetapir positron emission tomography (PET) amyloid positivity (A^+) on verbal learning and memory performance and hippocampal volume (HV) in normal cognition (NC) and early mild cognitive impairment (eMCI).

Methods: Seven hundred forty-two participants with NC and participants with eMCI from the Alzheimer's Disease Neuroimaging Initiative (second cohort [ADNI2] and Grand Opportunity Cohort [ADNI-GO]) were included. All had baseline florbetapir PET measured, and 526 had screening visit HV measured. Regression moderation models were used to examine whether A^+ effects on Rey Auditory Verbal Learning Test learning and delayed recall and right and left HV (adjusted for total intracranial volume) were moderated by diagnosis and sex. Age, cognition at screening, education, and apolipoprotein E $\epsilon 4$ carrier status were controlled.

Results: Women with A^+ , but not those with florbetapir PET amyloid negative (A^-), eMCI showed poorer learning. For women with NC, there was no relationship of A^+ with learning. In contrast, A^+ men trended toward poorer learning regardless of diagnosis. A similar trend was found for verbal delayed recall: Women with A^+ , but not A^- , eMCI trended toward reduced delayed recall; no effects were observed for women with NC or for men. Hippocampal analyses indicated that women with A^+ , but not those with A^- , eMCI, trended toward smaller right HV; no significant A^+ effects were observed for women with NC. Men showed similar, though nonsignificant, patterns of smaller right HV in A^+ eMCI, but not in men with A^- eMCI or NC. No interactive effects of sex were noted for left HV.

Conclusions: Women with NC showed verbal learning and memory scores robust to A^+ , and women with A^+ eMCI lost this advantage. In contrast, A^+ impacted men's scores less significantly or not at all, and comparably across those with NC and eMCI. Sex marginally moderated the relationship of A^+ and diagnosis with right HV, such that women with NC showed no A^+ effect and women with A^+ eMCI lost that advantage in neural integrity; the pattern in men was less clear. These findings show that women with A^+ eMCI (i.e., prodromal AD) have differential neural and cognitive decline, which has implications for considering sex in early detection of AD and development of therapeutics.

Keywords: Alzheimer's disease, Amyloid, Mild cognitive impairment (MCI), PET, Volumetric magnetic resonance imaging (MRI), Memory

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Background

Florbetapir positron emission tomography (PET) amyloid positivity (A^+) is a biomarker for fibrillar amyloid associated with a high likelihood of progression to Alzheimer's disease (AD) dementia [1]. β -Amyloid ($A\beta$) accumulation has been linked to brain atrophy [1–3] and cognitive decline in AD [1–7]. However, findings have been mixed regarding whether and how A^+ relates to cognitive dysfunction or hippocampal volume (HV) across the spectrum of normal cognition (NC), early mild cognitive impairment (eMCI), and AD [4, 8–13].

Some inconsistencies in the extant literature relate to disease stage included and concurrent versus longitudinal assessment of outcomes. For example, on one hand, although A^+ may be detectable in NC, it may not be meaningfully related to concurrent cognition until development of eMCI [2, 14]. On the other hand, A^+ may be strongly linked to retrospective longitudinal decline in NC but less predictive of future decline as the disease progresses to eMCI [4]. Other potentially explanatory factors include differences in sample size, type of $A\beta$ measurement (e.g., binary positivity versus continuous measures of $A\beta$ load), hippocampal segmentation and correction methodology [15–17], and type of outcome measure employed (e.g., screening measures such as overall scores on the Mini Mental State Examination [MMSE] versus more specific memory measures).

Although traditionally treated as a confound, sex differences in the relationship of A^+ to cognition and HV may also explain conflicting findings. Recent investigations have revealed sex effects on hippocampal atrophy in normal aging, eMCI, and AD, though one study showed this only before controlling for $A\beta$ levels [18–20]. Researchers have also shown that women's established verbal memory advantage over men [20–23] appears to function as a form of sex-specific cognitive reserve, affording women equal or better cognitive performance compared with men via compensation despite positive biomarkers for AD, including mild to moderate levels of hippocampal atrophy [24] or fluorodeoxyglucose (^{18}F -FDG)-PET hypometabolism [25]. Mechanisms of sex effects on hippocampal atrophy and cognition remain unclear, but as recent reviews and studies suggest, the etiology may include a complex interaction of effects of sex hormones; genetics (e.g., apolipoprotein E $\epsilon 4$ [APOE $\epsilon 4$] carrier status); and psychosocial (e.g., differences in stress or coping), demographic (e.g., education), and lifestyle (e.g., exercise, smoking, and alcohol use) factors [20, 26]. Whether sex-specific reserve in cognition is seen in the face of A^+ remains unclear. It is also unknown whether sex-specific hippocampal reserve exists for women with A^+ .

We examined whether sex moderates the effect of A^+ on verbal learning and memory and HV in individuals with NC and eMCI. We hypothesized that sex would

moderate the relationship of A^+ and diagnosis with cognition such that women with NC would show a memory-related advantage over men that persists despite A^+ and that women with eMCI would lose that advantage. We further hypothesized that sex would moderate the relationship of A^+ and diagnosis with HV such that women with women with A^+ and NC would show a neural robustness in hippocampal integrity but that women with eMCI would lose that advantage.

Methods

Participants

The Alzheimer's Disease Neuroimaging Initiative (ADNI) is a longitudinal, multisite AD biomarker study (www.adni-info.org). The present investigation includes participants enrolled in the Alzheimer's Disease Neuroimaging Initiative second cohort (ADNI2) and the Alzheimer's Disease Neuroimaging Initiative Grand Opportunity Cohort (ADNI-GO) who had amyloid PET imaging at baseline and cognitive testing at screening ($n = 742$). Of included participants, 526 had screening visit HVs that met University of California, San Francisco (UCSF), quality control standards (UCSF Freesurfer Methods Quality Control [<http://adni.loni.usc.edu/>]). Participants were NC ADNI2 participants ($n = 285$) and participants with eMCI (ADNI2, $n = 329$; ADNI-GO, $n = 128$). ADNI required participants with NC to have MMSE scores of 24–30, a Clinical Dementia Rating (CDR) of 0, and no memory complaints. ADNI defined early eMCI as including MMSE [27] scores of 24–30, CDR [28] of 0.5, CDR Memory box score of 0.5 or greater, objective memory loss as assessed by education-adjusted scores on the Wechsler Memory Scale Logical Memory II test (raw scores 9–11 for >16 years of education, 8–15 for 5–9 years of education, 0–7 for 3–6 years of education), subjective memory complaint, and not meeting criteria for dementia [29].

Hippocampal image processing

Fully processed HV and total intracranial volume (TIV) numerical values were downloaded from ADNI, with methods described in the UCSF Freesurfer Methods Quality Control document ([www.adni.loni.usc.edu](http://adni.loni.usc.edu)). In brief, magnetic resonance imaging (MRI) scans were obtained at baseline according to a standardized protocol (<http://adni.loni.usc.edu/methods/mri-analysis/mri-acquisition/>). Nonaccelerated T1-weighted images (multiplanar reconstruction or inversion recovery-spoiled gradient recalled acquisition in steady state) in Neuroimaging Informatics Technology Initiative format were pre-processed by the Mayo Clinic (gradient warping, scaling, B1 correction, and N3 inhomogeneity correction). Freesurfer (version 5.1; documented and freely available for download online [<http://surfer.nmr.mgh.harvard.edu/>])

was employed for motion correction and averaging [30] of multiple volumetric T1-weighted images (when more than one was available), removal of nonbrain tissue using a hybrid watershed/surface deformation procedure [31], automated Talairach transformation, segmentation of the subcortical white matter and deep gray matter volumetric structures (including hippocampus) [32, 33], intensity normalization [34], tessellation of the gray matter-white matter boundary, automated topology correction [35, 36], and surface deformation following intensity gradients to optimally place the gray/white and gray/cerebrospinal fluid borders at the location where the greatest shift in intensity defines the transition to the other tissue class [37, 38]. Visual quality control assessment of images was performed at UCSF (*see* www.adni.loni.usc.edu).

In the present analyses, we employed HV that passed UCSF-established quality control thresholds. We examined left and right HVs rather than a mean volume across hemispheres. This was based on significant testing for effect of hemisphere conducted prior to primary analyses described in the Statistical methods section (i.e., analysis of variance with sex, A⁺, and their interaction as between-subjects factors and hemisphere as a within-subject factor, predicting left and right hemisphere volumes). The results revealed significant effects of hemisphere on volume in NC [$F(4,179) = 12.30, p = 0.001$] and eMCI [$F(4,347) = 25.87, p < 0.001$] as well as a significant sex-by-A⁺-by-hemisphere interaction in eMCI [$F(4,347) = 4.12, p = 0.043$]. We adjusted left and right HVs for TIV according to procedures set forth by Mormino and colleagues [39]. In brief, adjusted hippocampal volume (aHV) was calculated according to the formula [aHV = raw HV - β (TIV - mean TIV)]. Mean TIV values were defined separately for NC and eMCI; mean TIV for the appropriate diagnostic group was subtracted from each individual's TIV. This value was multiplied by the regression coefficient (β) obtained from a regression of TIV predicting HV in the appropriate diagnostic group. Finally, we calculated aHV by subtracting this value from raw left and right HVs for each participant.

Florbetapir PET image processing

We downloaded fully processed ¹⁸F-FDG-PET binary positivity/negativity values from ADNI, where full protocols are also described (www.adni.loni.usc.edu). Florbetapir synthesis, image acquisition, and processing are additionally described in prior publications [4, 40, 41]. In brief, amyloid PET images were acquired at a variety of sites (4 × 5-minute frames obtained 50–70 minutes post-injection). Images were realigned; averaged; resliced to 1.5-mm³ voxel size; smoothed to 8-mm FWHM; and coregistered to baseline native space structural MRI scans, which were segmented and parcellated with Freesurfer

version 5.3.0 to define cortical gray matter regions of interest (frontal, anterior/posterior cingulate, lateral parietal, lateral temporal) [39, 40]. A⁺ was determined by extracting weighted cortical retention means (regional standardized uptake value [SUVr]) from these regions, calculating average SUVr, and dividing by the cerebellar SUVr as a reference [40, 41]. In the present analyses, we used binary A⁺, employing the recommended 1.11 SUVr ratio threshold for cross-sectional analyses [40, 41].

Apolipoprotein E carrier status

We downloaded apolipoprotein E (APOE) genotype data fully processed from ADNI (adni.loni.usc.edu). A binary variable was created, coding all individuals as APOE $\epsilon 4$ carriers (heterozygotes, $n = 251$; homozygotes, $n = 53$) or noncarriers.

Clinical and cognitive measures

Cognitive outcome measures consisted of total learning and delayed free recall performance scores on the Rey Auditory Verbal Learning Test (RAVLT) [42]. We calculated total RAVLT learning scores by adding the five learning trial scores. We included modified total performance score on the Montreal Cognitive Assessment (MoCA) [43] as a measure of baseline cognitive status. To create a MoCA score that did not include a measure of memory performance, points earned for delayed list word recall were excluded from the total score, resulting in a maximum score of 25.

Statistical methods

All analyses were performed using IBM SPSS Statistics software (IBM, Armonk, NY, USA) and the PROCESS macro [44, 45]. Mann-Whitney U tests were performed to examine group differences in demographic control variables. Four separate moderation regression analyses were performed to examine whether sex and diagnosis moderated main effects of amyloid status on RAVLT learning and delayed free recall scores as well as left and right HVs. For all analyses, we treated A⁺ as an independent variable, diagnosis as a moderator, and sex as a secondary moderator. Modified MoCA scores, age at screening visit, education, and APOE $\epsilon 4$ carrier status were included as covariates. All continuous covariates were mean-centered; dichotomous covariates were zero-centered.

For each of the four moderation analyses, outlying and influential data points were defined as those that failed two of the following three thresholds: (1) Cook's D [$D > 4/(n - k - 1)$], where n = number of participants in the analysis and k = number of predictors; (2) leverage as defined by $(2k + 2)/n$, where n = number of participants in the analysis and k = number of predictors; and/or (3) Mahalanobis value greater than the chi-square cutoff at

$p < 0.001$ ($df = 6$). On the basis of these criteria, one participant was excluded for the RAVLT learning analysis, none were excluded for the RAVLT delay analysis, and two were excluded for each of the HV analyses. We carefully inspected data for all participants whose data failed a single threshold measure in order to ensure no operator error created outlying data points, as well as to ensure that data points did not appear to belong to a different population.

Results

Demographics

Of 742 participants, 48.4% were women, 344 were A⁺, 304 were APOE ε4 allele carriers, and 457 were diagnosed with eMCI. The average age of the sample was 71.59 years (SD 6.98) and ranged from 55 to 91 years. Additional demographics by diagnosis, sex, and Aβ status are displayed in Table 1.

Mann-Whitney *U* test results showed that, for NC, men were significantly older ($p = 0.01$) and more educated ($p < 0.001$) than women. For eMCI, men were also significantly older ($p = 0.02$) and more educated ($p < 0.001$) than women. There were no sex differences in modified MoCA score or APOE ε4 carrier status for NC or eMCI.

Mann-Whitney *U* tests showed that, for NC, those with A⁺ were significantly older ($p < 0.001$), less educated ($p = 0.03$), and less frequently APOE ε4 carriers ($p < 0.001$) than those with florbetapir positron emission tomography amyloid negativity (A⁻). No differences based on A⁺ were observed in modified MoCA scores ($p < 0.001$) for those with NC. For eMCI, those with A⁺ were significantly older ($p < 0.001$), had lower modified MoCA scores ($p = 0.001$), and were more often APOE ε4 carriers ($p < 0.001$) than those with A⁻. No differences were observed for education.

Sex moderation of amyloid status and diagnosis effects on verbal learning and free recall

The overall model with A⁺, diagnosis, sex, and their interactions predicting verbal learning was significant [$F(11,727) = 48.26$, $p < 0.001$, $R^2 = 0.39$]. A three-way interaction showed that sex significantly moderated the effects of diagnosis and A⁺ on verbal learning [$t(727) = -2.25$, $p = 0.02$]. Parsing this interactive effect indicated that women were impacted differently by A⁺, depending on diagnosis. In particular, women with A⁺ eMCI, but not those with A⁻ eMCI, showed poorer learning [A⁺ eMCI, $t(727) = -3.65$, $p < 0.01$]. Similar A⁺ effects were not seen in women with NC [$t(727) = -0.18$, $p = 0.85$]. In contrast, A⁺ impacted men similarly regardless of diagnosis, with A⁺ showing trends toward poorer learning [NC, $t(727) = -1.94$, $p = 0.05$; eMCI, $t(727) = -1.66$, $p < 0.01$]. All findings were significant after controlling for age,

education, modified MoCA score, and APOE ε4 carrier status. See Table 2 and Fig. 1a.

The overall model with A⁺, diagnosis, sex, and their interactions predicting verbal delayed recall was also significant [$F(11,729) = 32.94$, $p < 0.001$, $R^2 = 0.27$]. Analyses showed trends toward a three-way interaction, suggesting that sex marginally moderated the effects of diagnosis and A⁺ on verbal delayed recall [$t(729) = -1.18$, $p = 0.07$]. Again, women with A⁺ eMCI, but not those with A⁻ eMCI, showed poorer delayed recall [$t(729) = -3.64$, $p < 0.01$], and no A⁺ effect was seen in women with NC [$t(729) = -0.96$, $p = 0.34$]. There was no effect of A⁺ on delayed recall for men with NC or eMCI [NC, $t(729) = -1.36$, $p = 0.17$; eMCI, $t(729) = -1.08$, $p = 0.28$]. Findings are controlled for age, education, modified MoCA score, and APOE ε4 carrier status. See Table 2 and Fig. 1b.

Sex moderation of amyloid status and diagnosis effects on hippocampal volume

The overall model with A⁺, diagnosis, sex, and their interactions predicting left HV was significant [$F(11,512) = 14.55$, $p < 0.001$, $R^2 = 0.25$]. However, the three-way interaction of A⁺, diagnosis, and sex was not significant [$t(512) = -0.35$, $p = 0.73$], indicating no moderating effects of sex or diagnosis on A⁺ effects for the left HV. Main effects indicated that diagnosis related to smaller left HV across sexes, with men and women with eMCI showing smaller left HV than men and women with NC [$t(512) = -5.88$, $p < 0.001$]. Main effects of A⁺ on left HV showed a trend toward smaller left HV in men and women with A⁺ NC and eMCI [$t(512) = -1.69$, $p = 0.09$]. There was not a significant main effect of sex on left HV [$t(512) = -1.30$, $p = 0.19$]. See Table 3 and Fig. 1c.

The overall model with A⁺, diagnosis, sex, and their interactions predicting right HV was significant [$F(11,511) = 18.00$, $p < 0.001$; $R^2 = 0.28$]. The three-way interaction of A⁺, diagnosis, and sex was a trend, suggesting that sex marginally moderated the effects of diagnosis and A⁺ on right HV [$t(511) = -1.17$, $p = 0.09$]. Parsing this interactive effect indicated that women were again impacted differently by A⁺ depending on diagnosis, with women with A⁺ eMCI, but not those with A⁻ eMCI, showing smaller right HV [$t(511) = -2.71$, $p < 0.01$]. There was no association of A⁺ with right HV in NC [$t(511) = -0.77$, $p = 0.44$]. For men, there was a trend toward A⁺ relating to smaller right HV in A⁺ eMCI and not A⁻ eMCI [$t(511) = -1.75$, $p = 0.08$]. No relationship was observed between A⁺ and right HV in NC men [$t(511) = -1.58$, $p = 0.11$]. See Table 3 and Fig. 1d.

Discussion

In the present study, we examined the moderating effects of sex on the impact of diagnosis and A⁺ on verbal

Table 1 Sample characteristics by diagnosis, sex, and amyloid status

	Normal cognition						Early mild cognitive impairment			
	Female (n = 154)			Male (n = 131)			Female (n = 205)		Male (n = 252)	
	Florbetapir-negative	Florbetapir-positive		Florbetapir-negative	Florbetapir-positive		Florbetapir-negative	Florbetapir-positive	Florbetapir-negative	Florbetapir-positive
Demographics										
No. of subjects	91	63		100	31		93	112	114	138
Age, years	70.8 (5.6)	72.4 (5.0)		72.3 (6.2)	77.8 (5.8)		69.5 (8.3)	70.8 (7.1)	69.2 (7.4)	73.7 (6.4)
Education, years	16.5 (2.5)	15.5 (2.6)		17.2 (2.3)	17.6 (2.4)		15.8 (2.3)	15.6 (2.8)	16.8 (2.5)	16.5 (2.7)
White, %	92.3	93.7		89	87.1		90.3	92	92.1	96.4
Hispanic, %	8.8	4.8		3	0		6.5	3.6	3.5	2.2
Cognition										
Modified MoCA	23.5 (1.6)	22.3 (1.7)		23.4 (1.7)	23.0 (1.6)		22.6 (2.1)	21.8 (2.4)	22.6 (2.0)	21.9 (2.5)
RAVLT Total Learning	48.8 (8.9)	47.0 (8.3)		44.4 (11.5)	37.7 (8.8)		45.0 (11.6)	37.6 (10.7)	37.2 (9.9)	32.0 (8.9)
RAVLT Delayed Recall	8.9 (3.4)	7.8 (3.6)		7.0 (4.5)	5.2 (3.1)		7.4 (4.5)	4.3 (4.2)	5.0 (3.7)	3.5 (3.2)
Brain volume^a										
No. of subjects	59	34		70	16		77	84	86	100
Left, raw, mm ³	3640.458 (408.312)	3626.059 (451.953)		3891.829 (495.200)	3716.563 (338.556)		3530.481 (502.509)	3339.369 (516.286)	3724.186 (579.737)	3438.300 (541.483)
Right, raw, mm ³	3727.322 (444.720)	3718.941 (450.135)		3991.514 (460.636)	3712.375 (348.348)		3631.662 (493.285)	3338.345 (552.385)	3822.256 (612.419)	3562.970 (500.952)
Total ICV, raw, mm ³	1.41 × 10 ⁶ (102,176.7)	1.41 × 10 ⁶ (122,896.4)		1.57 × 10 ⁶ (135,998.0)	1.58 × 10 ⁶ (151,662.0)		1.42 × 10 ⁶ (121,471.7)	1.41 × 10 ⁶ (108,602.5)	1.58 × 10 ⁶ (127,438.3)	1.61 × 10 ⁶ (149,837.7)
APOE ε4 carrier status										
ε4 allele carriers, n	23	29		17	15		19	76	32	93

Abbreviations: APOE Apolipoprotein E, ICV Intracranial volume, MoCA Montreal Cognitive Assessment, RAVLT Rey Auditory Verbal Learning Test

Mean (SD) data are shown for continuous variables

^a For brain volume analyses, females with normal cognition (n = 93), males with normal cognition (n = 86), females with early mild cognitive impairment (n = 161), and males with early mild cognitive impairment (n = 186)

Table 2 Summary of regression moderation analyses for Rey Auditory Verbal Learning Test Verbal Learning and Free Recall memory test outcomes ($n = 742$)

	RAVLT Total Learning score ^a				RAVLT Delayed Free Recall score ^b			
	β Coefficient	p Value	95% CI		β Coefficient	p Value	95% CI	
Amyloid PET positivity	-2.766	0.001	-4.321	-1.21	-1.056	0.001	-1.689	-0.422
Diagnosis	-5.586	<0.0001	-7.122	-4.05	-1.791	<0.0001	-2.404	-1.178
Sex	6.308	<0.0001	4.804	7.812	1.854	<0.0001	1.245	2.463
A ⁺ × diagnosis × sex	-6.616	0.025	-12.385	-0.847	-2.116	0.073	-4.431	0.199
A ⁺ × diagnosis	-1.486	0.314	-4.382	1.411	-0.536	0.359	-1.683	0.611
A ⁺ × sex	0.229	0.877	-2.677	3.135	-0.623	0.288	-1.773	0.528
Diagnosis × sex	0.689	0.639	-2.19	3.567	-0.562	0.341	-1.717	0.594
Age	-0.371	<0.0001	-0.473	-0.268	-0.095	<0.0001	-0.137	-0.053
Education	0.388	0.003	0.134	0.643	0.114	0.035	0.008	0.221
Modified MoCA	1.357	<0.0001	1.002	1.711	0.404	<0.0001	0.279	0.53
APOE ϵ 4 carrier status	-1.713	0.0259	-3.219	-0.207	-0.817	0.008	-1.424	-0.211

Abbreviations: A⁺ Flortbetapir positron emission tomography amyloid positivity, APOE Apolipoprotein E, MoCA Montreal Cognitive Assessment, PET Positron emission tomography, RAVLT Rey Auditory Verbal Learning
 Age, education, and modified MoCA score were centered at their means. Dichotomous variables were centered on zero (RAVLT total learning score overall model, $R = 0.623$, $p < 0.0001$; RAVLT Delayed Free Recall score overall model, $R = 0.522$, $p < 0.0001$)

^a $n = 739$ after exclusions
^b $n = 741$ after exclusions

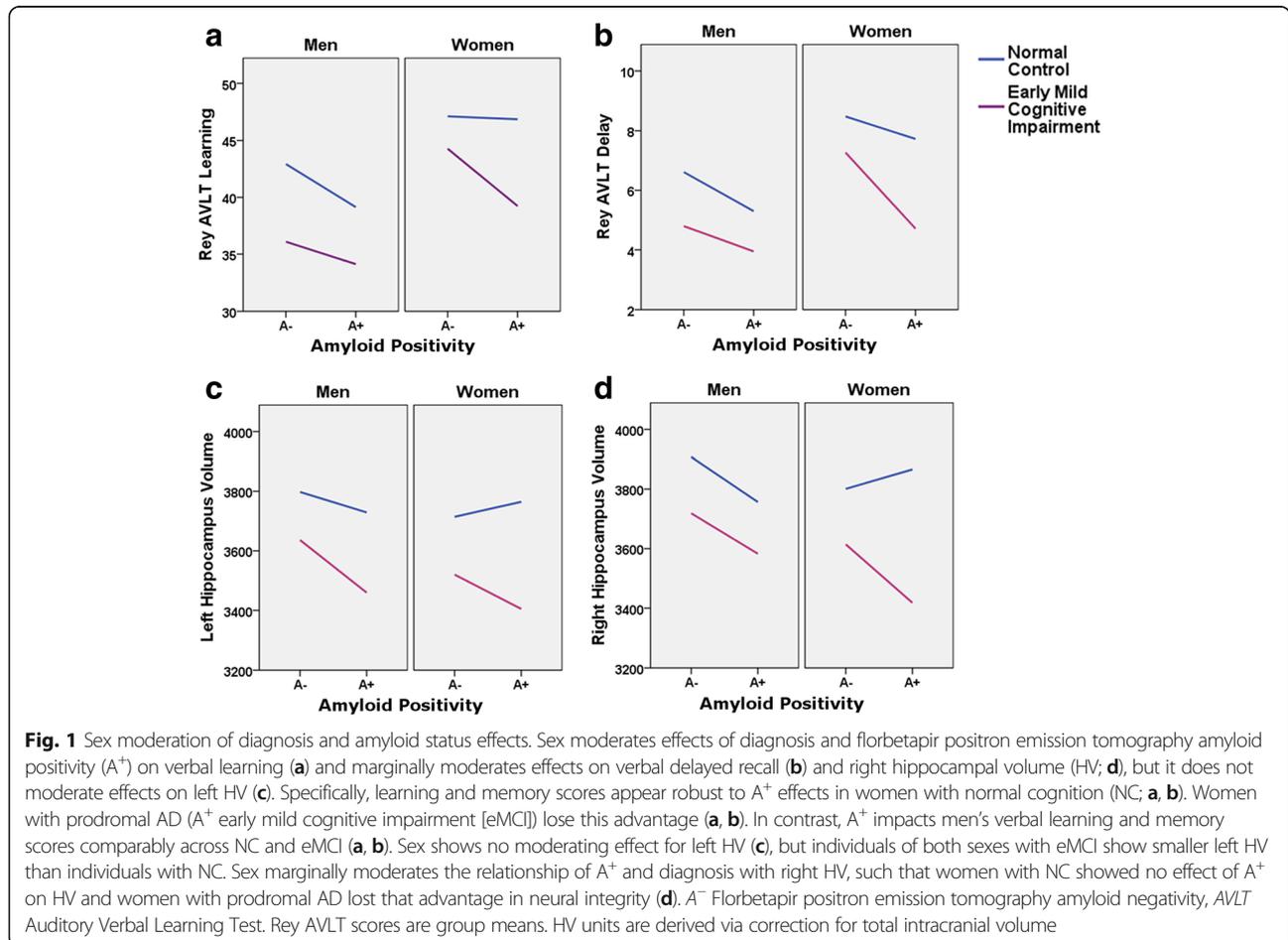


Table 3 Summary of regression moderation analyses for left and right hippocampal volume outcomes ($n = 526$)

Variable	Left hippocampus ^a			Right hippocampus ^a				
	β Coefficient	p Value	95% CI	β Coefficient	p Value	95% CI		
Amyloid PET positivity	-77.566	0.092	-167.751	12.619	-104.569	0.021	-192.943	-16.195
Diagnosis	-246.297	<0.0001	-328.569	164.024	-249.308	<0.0001	-333.037	165.578
Sex	-54.713	0.193	-137.229	27.802	-66.609	0.111	-148.498	15.28
A ⁺ × diagnosis × sex	-57.528	0.726	-380.114	265.058	-276.81	0.089	-596.41	42.789
A ⁺ × diagnosis	-137.015	0.094	-297.34	23.309	-123.397	0.127	-281.968	35.173
A ⁺ × sex	90.467	0.259	-66.718	247.652	77.968	0.329	-78.934	234.869
Diagnosis × sex	-61.618	0.454	-223.256	100.021	-135.762	0.097	-296.177	24.654
Age	-26.758	<0.0001	-32.976	20.539	-30.667	<0.0001	-36.752	-24.583
Education	0.312	0.969	-15.337	15.962	-8.534	0.281	-24.0581	6.989
Modified MoCA	34	0.001	13.599	54.4	31.479	0.003	10.901	52.057
APOE ϵ 4 carrier status	-15.354	0.742	-107.004	76.297	-29.992	0.513	-120.083	60.099

Abbreviations: A⁺ Flortbetapir positron emission tomography amyloid positivity, APOE Apolipoprotein E, MoCA Montreal Cognitive Assessment, PET Positron emission tomography

Age, education, and modified MoCA scores were centered at their means. Dichotomous variables were centered on zero. Left hippocampus overall model ($R = 0.495$, $p < 0.0001$); right hippocampus overall model ($R = 0.533$, $p < 0.0001$)

^a $n = 524$ after exclusions

learning and memory and HV. The main finding was that sex moderated the effects of A⁺ and diagnosis on verbal learning. In addition, we showed that sex marginally moderated the effects of A⁺ and diagnosis on verbal delayed recall and that sex marginally moderated the effects of A⁺ and diagnosis on right HV. In contrast, no sex moderation effects were observed for left HV.

With respect to cognition, our findings specifically suggest that women's advantage over men in verbal learning—and to a lesser extent delayed recall—was robust to A⁺ in NC. Moreover, in eMCI, only women with A⁺, and not those with A⁻, showed poorer learning—and to a lesser extent poorer delayed recall. These effects were observed after accounting for baseline cognitive status, age, education, and APOE ϵ 4 carrier status. We conceptualize these findings as consistent with A⁺ eMCI representing a prodromal AD stage and A⁻ eMCI as representing suspected non-Alzheimer's pathophysiology (SNAP). Our findings are consistent with literature showing better verbal memory performance in women [24] and positing a cognitive or memory reserve advantage for women with fewer prodromal AD traits (i.e., amnesic eMCI but moderate to large HV), but not with more prodromal AD traits (i.e., amnesic eMCI, dementia diagnosis, and small HV) [25, 26, 46]. Our findings are also partially consistent with a very recent study showing that women with low to moderate A β burden (but not high A β burden) had better verbal delayed recall than men and that this effect was specific to MCI versus NC or AD. A moderating effect of sex shown in the present study may help to explain some conflicting findings in the extant literature because, depending on sample size and diagnostic stage included, collapsing across sexes may lead to masked or exaggerated findings.

The present result showing that sex moderates the effect of A⁺ and diagnosis on learning and memory also has implications for clinical diagnosis of AD in women. Specifically, as has been suggested in the past [24, 25], memory reserve in women could delay prodromal AD diagnosis even in the face of positive biomarkers such as A⁺. However, the present results suggest that longitudinal assessment of the potentially steeper decline in memory for women between NC and prodromal AD, which is absent in SNAP, or combining measures of memory with other biomarkers, possibly with an approach that places heavier weight on biomarkers such as A⁺ early on, could increase diagnostic accuracy. This finding could also be relevant for development of therapeutics for AD, both with respect to inclusion criteria for trials (e.g., guidelines including a memory or learning score deficit requirement could exclude women with preclinical AD unintentionally) as well as outcome measures (e.g., the differing trajectories of memory decline in men and women could either exaggerate or mask important findings, depending on group composition, if sex is not considered).

With respect to HV, our present findings suggest moderating effects of sex for right HV. Similar to the pattern of results for the cognitive data, there was no relationship of A⁺ with right HV in women with NC. For women with eMCI, those with prodromal AD, but not SNAP, showed smaller right HV. The pattern in men was weaker and not significant, but it was similar. No moderating effects of sex were found for left HV.

Taken together, these findings may suggest that women have a neural reserve at the level of the hippocampus, such that hippocampal integrity is robust to

effects of A⁺ in preclinical stages in women. Importantly, the present results do not imply that women have larger hippocampi and thus more volume to lose. Instead, they would suggest that neural reserve could be defined as a robustness to neurodegeneration, beginning at similar neural volume as men, when adjusting for TIV. Replication in even larger samples, as well as in samples of clinic-typical patients, will be important for understanding whether this is a true example of sex-specific neural reserve or whether findings would be significant in men with larger cohorts. If the latter were true, it might alternatively suggest that A⁺ is sensitive to concurrent HV loss in early clinical disease stages but not in NC. Larger cohort replication might also be helpful in determining whether the present lateralized findings might be consistent or whether bilateral effects would emerge. Certainly, left hemisphere effects might be expected, given the literature showing that women with NC and women with eMCI have stronger verbal memory [20, 24], and our lateralized results deserve further investigation.

Of note, in the present HV analysis, we intentionally employed a residual correction methodology for TIV [39], based on our specific sample composition as well as on guidelines recently published [17]. Previous work has suggested that a major source of variability in literature describing assessment of HV sex differences may be lack of [15], or differing methods for [16], correcting HV for total brain or intracranial volume. Use of a deliberate statistical approach taking sex into account at all levels may help to reduce or explain contradictory results in the literature relating A⁺ and sex to HV across NC and eMCI. Further research is needed to determine what pattern of sex moderation may exist at AD dementia stages at which women have been shown to have more rapid trajectories of decline [18, 46].

Strengths of the present study include use of a large, well-characterized study sample employing the prodromal AD diagnosis and rigorous control of potential confounding variables. Limitations include lack of longitudinal analysis, which could help to clarify causality, and use of a smaller cohort of individuals with HV data. It was also beyond the scope of the present analysis to explore ways in which HV may itself be a moderator of cognitive decline or to further probe HV at the level of subfields [47].

Future research is warranted on the longitudinal implications of these findings, as is replication in a larger cohort. In particular, because the present analysis employs clinically defined diagnostic stage groups in which men and women would be expected to express similar clinical symptoms, fine-grained examination of when exactly—or how much—pathology such as amyloid burden leads to cognitive, atrophic, and clinical symptom expression is needed. In addition, further validation and exploration of

the currently used modification of the MoCA eliminating the memory component will also be important. Finally, examining the moderating effect of sex on other outcome measures, including hippocampal subfields, nonverbal memory, and resting state functional MRI, may be interesting.

Conclusions

The present study shows that sex moderates the relationship of A⁺ and diagnosis with verbal learning performance and marginally moderates the effect of A⁺ and diagnosis on verbal delayed recall and right hemisphere HV. Whereas women with NC show learning and memory scores that are robust to A⁺ effects, women with prodromal AD lose this advantage; in contrast, A⁺ impacts men's learning and memory scores in a less significant way or not at all and comparably across NC and eMCI. For right HV, the marginal sex moderation effect showed that women with NC had no effect of A⁺ on HV. Women with prodromal AD, but not those with SNAP, lost that advantage in right HV neural integrity; effects among men remain unclear. Further study of sex effects in prodromal AD and AD dementia has the potential to lead to clinical developments that increase diagnostic accuracy at early stages, as well as to increase the accuracy of treatment group formation and outcome assessment when developing novel therapeutics.

Abbreviations

A⁻: Florbetapir positron emission tomography amyloid negativity; A⁺: Florbetapir positron emission tomography amyloid positivity; Aβ: β-Amyloid; AD: Alzheimer's disease; ADNI: Alzheimer's Disease Neuroimaging Initiative; ADNI2: Alzheimer's Disease Neuroimaging Initiative Second Cohort; ADNI-GO: Alzheimer's Disease Neuroimaging Initiative Grand Opportunity Cohort; aHV: Adjusted hippocampal volume; APOE: Apolipoprotein E; CDR: Clinical Dementia Rating; eMCI: Early mild cognitive impairment; ¹⁸F-FDG: Fluorodeoxyglucose; HV: Hippocampal volume; ICV: Intracranial volume; MMSE: Mini Mental State Examination; MoCA: Montreal Cognitive Assessment; MRI: Magnetic resonance imaging; NC: Normal cognition; PET: Positron emission tomography; RAVLT: Rey Auditory Verbal Learning Test; SNAP: Suspected non-Alzheimer's disease pathophysiology; SUVr: Regional standardized uptake value; TIV: Total intracranial volume; UCSF: University of California, San Francisco

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Availability of data and materials

The data that support the findings of this study are available from the Alzheimer's Disease Neuroimaging Initiative (ADNI), but some restrictions apply to the availability of these data, including a data use agreement and publication agreement. The data published herein were used under these agreements and so are not publicly available. Data are, however, available from the authors upon reasonable request and with permission of the ADNI.

Authors' contributions

JZKC acquired data, designed the study, analyzed and interpreted data, and prepared the manuscript. JLB acquired data and prepared the manuscript. JLC provided critical revision of the manuscript for intellectual content. SJB designed the study, interpreted data, and provided critical revision of the manuscript for intellectual content. All authors read and approved the final manuscript.

Ethics approval and consent to participate

All data included in this article were collected for research use as part of the Alzheimer's Disease Neuroimaging Initiative (ADNI) project. ADNI is a longitudinal, multisite AD biomarker study (www.adni-info.org) that offers de-identified data meeting criteria for limited datasets to qualified researchers with scientific or educational institution affiliations, upon review. Ethics approval for data collection in ADNI was obtained by each ADNI participating institution's institutional review board. All participants gave written informed consent at participating institutions. The authors of this paper were granted approved access to the ADNI data, and the ADNI Data Sharing and Publications Committee (DPC) approved this paper for submission to *Alzheimer's Research and Therapy* (date of approval, February 24, 2017).

Consent for publication

Not applicable.

Competing interests

JZKC, JLB, and SJB declare that they have no competing interests. JLC declares having received in kind research support from Avid Radiopharmaceuticals, Teva Pharmaceuticals, and CogState; having done consultation for AbbVie, ACADIA Pharmaceuticals, Accera, Actinogen Medical, Adamas Pharmaceuticals, Alkahest, Alzheon, Anavex Life Sciences, Astellas Pharma, AstraZeneca, Avanir Pharmaceuticals, Axovant Sciences, Biogen Idec, Biotie Therapies, Boehringer Ingelheim, Chase Pharmaceuticals, Eisai, FORUM Pharmaceuticals, Genentech, Grifols, Intra-Cellular Therapies, Iris Pharma, Ionis Pharmaceuticals, Eli Lilly and Company, Lundbeck, Merck, Neurotrope BioScience, Novartis, Nutricia, Otsuka, Pfizer, Probiobdrug, QR Pharma, Resverlogix, Roche, Servier, Sunovion Pharmaceuticals, Suven Life Sciences, Takeda, Taisho Toyama Pharmaceuticals Co., Transition Therapeutics, United Neuroscience, GE Healthcare, and MedAvante; owning stock in Adamas Pharmaceuticals, Prana Biotechnology, Sonexa Therapeutics, MedAvante, NeuroTrax, and Neurokos; and owning the copyright of the Neuropsychiatric Inventory. In addition, JLC has provided expert witness/legal consultation regarding olanzapine and ropinerole.

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