### COMMENT

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# Comparison of plasma soluble and extracellular vesicles-associated biomarkers in Alzheimer's disease patients and cognitively normal individuals



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### Abstract

**Background** Amyloid- $\beta$  (A $\beta$ ) and tau are brain hallmarks of Alzheimer's disease (AD), also present in blood as soluble biomarkers or encapsulated in extracellular vesicles (EVs). Our goal was to assess how soluble plasma biomarkers of AD pathology correlate with the number and content of EVs.

**Methods** Single-molecule enzyme-linked assays were used to quantify A $\beta$ 42/40 and tau in plasma samples and neurally-derived EVs (NDEVs) from a cohort of *APOE*  $\epsilon$ 4– (n = 168) and *APOE*  $\epsilon$ 4+ (n = 68) cognitively normal individuals and AD patients (n = 55). The ratio of CD56 (Neuronal cell-adhesion molecule) to CD81 signal measured by ELISA-DELFIA was used for the relative quantification of NDEVs in plasma samples.

**Results** The soluble plasma Aβ42/40 ratio is decreased in AD patients compared to cognitively normal individuals. The amount and content (Aβ40, Aβ42, tau) of plasma NDEVs were similar between groups. Plasma NDEVs quantity remain consistent with aging and between AD and CN individuals. However, the quantity of soluble biomarkers was negatively correlated to NDEVs number in cognitively normal individuals, while in AD patients, this correlation is lost, suggesting a shift in the mechanism underpinning the production and the release of these biomarkers in pathological conditions.

**Conclusion** Soluble plasma A $\beta$ 42/40 ratio is the most robust biomarker to discriminate between AD patients and CN individuals, as it normalizes for the number of NDEVs. Analysis of NDEVs and their content pointed toward peculiar mechanisms of A $\beta$  release in AD. Further research on independent cohorts can confirm our findings and assess whether plasma A $\beta$  and tau need correction by NDEVs for better AD risk identification in CN populations.

Keywords Alzheimer's disease, Blood biomarkers, Extracellular vesicles, Aβ42/40 ratio, Tau, Neurally-derived EVs

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### Background

The prevalence of age-related neurodegenerative diseases is expected to increase globally in the coming decades, attributed to improved overall health conditions and increased life expectancy in many countries. Currently, around 50 million individuals are affected by dementia, a number projected to reach 130 million by 2050 [1]. Alzheimer's disease (AD) is the primary cause of senile dementia. Finding reliable, easily accessible, and costeffective biomarkers is a major challenge for the accurate and early diagnosis of AD. Diagnostic techniques based on neuroimaging and fluidic biomarkers have made great strides over the last two decades. New tracers like the <sup>18</sup>F-Flortaucipir have been developed to accurately image tau pathology in the brain and are approved by the FDA [2]. However, the cost and limited accessibility of PET imaging to clinical research centers prevent, for instance, large-scale screening of at-risk populations.

Regarding fluidic biomarkers, the analysis of amyloid- $\beta$ peptide (Aβ42), tau, and phospho-tau (p-tau) in cerebrospinal fluid (CSF) has long been the gold standard for AD diagnosis [3]. These biomarkers are also key actors of AD pathological process, and prime targets for the development of disease-modifying therapies. Monoclonal antibodies targeting aggregated AB, such as aducanumab and lecanemab, gained FDA approval in 2021 and 2023 [4, 5]. These emerging disease-modifying therapies might greatly benefit from screening techniques that are more accessible, less invasive than lumbar puncture and that would accurately inform about disease progression. While blood-based biomarkers hold promise, none is currently fully validated or clinically used for AD. The development of blood biomarkers faces certain challenges. For example, the low plasma concentrations of central nervous system (CNS)-derived biomarkers require a robust and reliable technique for their detection that can be implemented for routine use. The development of ultrasensitive single molecule detection arrays [6] has set new standards for biomarker detection in plasma or serum, fueling the interest for the easily-accessible blood samples. Still, regardless of their sensitivity, these quantification techniques detect only soluble/ monomeric forms of  $A\beta$  and tau, leaving aside other forms (e.g. aggregated or encapsulated in biological vesicles) that may be relevant for understanding the pathological processes. Other parameters can additionally act as confounding factors in biomarker quantification. Sex seems to influence plasma amyloid, as evidenced by a lower A $\beta$ 42/40 ratio in males [7]. Age is also a factor, as older cognitively normal (CN) individuals show lower levels of soluble A $\beta$ 42 and A $\beta$ 42/40 ratio [7–9]. APOE status is associated with amyloid levels, with significantly lower Aβ42 levels in APOE ε4 carriers in CN individuals [7, 9]. Additionally, factors like body mass index, recently linked to plasma concentrations of A $\beta$ 42 and A $\beta$ 40, should be considered as potential influencers of A $\beta$  levels in blood [10].

Recent investigations indicate that extracellular vesicles (EVs) are highly transmissible and play critical roles in the propagation of tau pathology [11]. Although EVs' precise role in AD pathogenesis remain elusive, they may facilitate the spreading of pathological factors like AB and tau seeds between cells [12, 13]. Other studies showed that EVs can be loaded with neurotoxic A $\beta$  forms [14]. The processing of the Amyloid Precursor Protein (APP) occurs in late endosomal pathways, involved EV formation, which could be a potential source of  $A\beta$  release in the extracellular space [15, 16]. Neurally-derived EVs (NDEVs), detectable in plasma, can cross the blood-brain barrier. NDEVs appear thus as a promising tool to investigate brain changes during disease progression, with their content potentially reflecting AD pathogenesis more accurately than soluble blood biomarkers or providing complementary information [12]. The perfect marker for the detection or isolation of NDEVs (e.g. expressed exclusively on neurally-derived extracellular vesicles) does not exist. Two main markers are commonly employed: L1CAM and the neuronal cell adhesion molecule 1 (NCAM1). A recent controversy appeared surrounding L1CAM and EVs [17]. By using size exclusion chromatography (SEC) like us to isolate EVs prior to analyzing plasma- and CSF-derived EVs, Norman et al., found that L1CAM did not co-elute with the EVs but instead eluted in fractions containing soluble proteins. This suggests that while it is possible for a small proportion of EVs in plasma to be L1CAM-positive, L1CAM is more abundant in a soluble form. We chose to use NCAM1, also known as CD56, to track NDEVs. It's worth noting that CD56 is not exclusively in neural cells but also in some other cell types, such as NK cells, that could in theory contaminate our NDEVs pool. Nevertheless, for the sake of clarity, we will continue to use the term "NDEVs" to refer to CD56-positive EVs.

Our aim in this study was to measure with high accuracy and sensitivity  $A\beta$  peptides, tau and EVs in plasma samples and evaluate how they correlate to the clinical status of cognitively normal or AD individuals. Furthermore, we aimed at determining whether the concentration of NDEVs present in the plasma can (i) reflect AD progression, (ii) modulate the levels of soluble biomarkers, and can be used as a potential diagnosis tool and as an indication of possible changes in the mode of  $A\beta$  production associated to AD pathogeny.

### Methods

### Participants and study settings

Participants were recruited at Cliniques Universitaires Saint Luc (CUSL), Brussels, and divided into two groups:

cognitively normal (CN) and AD-diagnosed groups. CN subjects were recruited from healthy volunteers in the general population, while AD patients were recruited at the memory clinic of the CUSL (UCLouvain). Cognitively normal individuals had no evidence of cognitive impairment and had a Mini-Mental State Examination (MMSE) test superior or equal to 27. All volunteers with lower MMSE were excluded from the study. The MMSE is a widely used cognitive test that is employed as routine practice at the memory clinic of CUSL. As all AD patients had previously undergone MMSE assessments, we chose to perform MMSE tests in the CN population to facilitate result comparisons between the two groups. All participants also needed to be free of neurological and psychological troubles, previous history of stroke, brain lesions, or epileptic episodes. Patients with AD were recruited based on the results of their lumbar puncture for AD biomarkers and neuropsychological evaluations. Cognitive evaluation included: Free and Cued Selective Reminding Test (FCSRT), Wechsler MEM-III test, Baddeley forms test, Trail Making Test, Luria alternating series test, verbal fluency task, 64 items denomination task (LEXIS test), GREMOTs denomination test, Clock drawing test and the CERAD Constructional Praxis Recall. Criteria for AD diagnosis required cognitive impairment at least in the memory domain (amnestic MCI or dementia) and an AD pattern on CSF biomarker analysis with: Aβ42 below 437 pg/ml, p-tau 181 above 61 pg/ml and total-tau above 381 pg/ml [18]. Discordant AD cases (normal A $\beta$ 42 or normal tau) were excluded. This analysis was performed during the routine medical checkup. All participants with AD underwent another MMSE evaluation for cognitive status at the time of blood collection. The lower age limit for both groups was 50 years old.

### Blood sampling and plasma preparation

A standard blood test procedure was performed. Blood was collected with a 21-gauge needle and transferred to EDTA polypropylene K2 tubes (Vacuette, #455,045). Immediately after collection, the tube was placed on ice and plasma isolation was performed within 2 h. Blood was centrifugated at 2000 g for 10 min at 4 °C, and plasma was aliquoted by 500  $\mu$ l in cryotubes and stored at -80 °C until further analysis.

### Apolipoprotien E (APOE) genotyping

DNA genotyping was performed at the VIB-UAntwerp Center for Molecular Neurology (UAntwerp, Belgium) on blood samples. Participants were monitored for *APOE* single-nucleotide polymorphisms (SNPs) rs429358 ([T/C] substitution on chromosome 19q13.32 of the sequence GCTGGGCGGGACATGGAGGACGT G[T/C]GCGGCCGCCTGGTGCAGTACCGCGG), and rs7412 ([C/T] substitution of the sequence CCGCGAT GCCGATGACCTGCAGAAG [C/T]GCCTGGCAGTG TACCAGGCCGGGGC). Based on the two SNPs, *APOE* alleles ( $\epsilon$ 2,  $\epsilon$ 3, or  $\epsilon$ 4) were assigned.

### Soluble A $\beta$ and tau quantification in plasma

Quantification of soluble A $\beta$ 40, A $\beta$ 42 and total-tau was performed using the Neurology Plex A kit from Quanterix(R) (Neurology 3 Plex A, #101,995). Each plasma sample was thawed at room temperature for 1 h before Simoa analysis in strict accordance with the manufacturer's protocol. All assay runs were carried out with same duration by the same experimenter.

### EVs isolation form plasma samples

We used size exclusion chromatography (SEC) qEVs columns from Izon Science Limited © to isolate EVs from blood plasma (#ICO-70). As it is critical to remove any aggregated or macro-protein contaminants associated with the EVs, EVs were purified using SEC rather than classical ultracentrifugation procedures [19]. Aliquots of plasma were placed at RT for 30 min prior to EVs isolation to allow plasma to thaw completely. The columns were placed at RT for 30 min before isolation. Columns were washed once with 20 ml of DPBS (ThermoFisher scientific, #14,190,250) before loading with 500 µl of plasma. DPBS (500 µl) was used to elute and collect fractions. Fractions 7 to 12 were considered as EVs-containing fractions (see below for EVs characterization). The final volume of the EVs fraction collected was 3 ml. 6 ml of DPBS were added to eliminate the protein fractions. The column was subsequently washed with 1 ml of DPBS NaOH 0.5 M - 16 ml DPBS 1x Triton 0.1% - 12 ml DPBS 1x - 2 ml of DPBS NaNa3 (0.05%), and finally stored at 4 °C for further use. Isolated EVs were stored at 4 °C for maximum 24 h.

### **EVs characterization**

Nanoparticle tracking, DELFIA-ELISA Europium, FACS and Dot Blot analyses were performed to characterize the isolated EVs. All validation steps were performed according to MISEV2018 guidelines [20] in n=3.Nanoparticle tracking analysis was used to determine the size distribution and concentration of isolated EVs. All samples were diluted 50 times in DPBS prior to analysis with the Particle Metrix ZetaView<sup>®</sup> analyzer.

### DELFIA-ELISA Europium

Further characterization was achieved by DELFIA-ELISA Europium sandwich assays with three different EV markers as inclusion markers (CD81, CD9, and CD63) and human serum albumin (HSA) as an exclusion marker. ELISA was performed on freshly isolated EVs (same day). All EVs samples were plated in a 96-well plate (Greiner, #762,071) at 100  $\mu$ l per well and incubated overnight at 4 °C. The plate was then washed 3 times with 300 µl of 1x DELFIA wash buffer (PerkinElmer, #4010-0010), blocked with PBS BSA 1% for 1h30 with gentle agitation and washed three times again with DELFIA wash buffer. Primary antibodies were added: anti-CD81 (BioLegend, TAPA-1, #349,502), anti-CD63 (BioRad, # MCA2142), anti-CD9 (R&D, # MAB1880), and anti-HSA (R&D, MAB1455) in PBS BSA 0.1% for 2 h with gentle agitation. Plates were washed three times with DELFIA wash buffer and incubated with the secondary antibody (antimouse IgG1 biotin, PerkinElmer, #NEF823001EA) for 1 h with gentle agitation. After three washes, streptavidineuropium conjugate (PerkinElmer, #1244-360) diluted 1/2500 in assay buffer (PerkinElmer, #1244-111) was added for 45 min, and then washed again six times. 100 µl of enhancement solution (PerkinElmer, #1244-105) was added per well before reading the plate (Victor, Perkin Elmer). To note, the same technique was used for the quantification of NDEVs in plasma, but this time using as primary antibodies anti-CD81 (BioLegend, TAPA-1, #349,502) and anti-CD56 (BioLegend, #318,319). The relative NDEVs amount was calculated as the signal ratio CD56/CD81.

### Identification of the NDEVs population by FACS analysis

The identification of the NDEVs population was done using CD56 (Neuronal cell adhesion molecule 1 or NCAM1) as a CNS marker. 500 µl of plasma samples were incubated prior to EVs isolation with 6 µl of CFSE-FITC (Life technologies ThermoFisher, #C34554A) for 1h30 at RT. EVs were isolated following the protocol described in Sect. 2.5. After isolation, 200 µl of EVs suspension was incubated overnight at 4 °C with either anti-CD9-APC (R&D Biotech, #FAB1880R-100UG), anti-CD56-eFluor450 (ThermoFisher scientific, #48-0566-42) or both at the same time. FACS analysis was performed the next morning on NovoCyte Quanteon® flow cytometer. EVs stained with CFSE-FITC were gated based on the scatter scale and FITC signal. EV samples stained for CD9 were analyzed then to refine selection of the vesicle population, and finally double stained EVs (for CD9 and CD56) were considered as the NDEVs subpopulation.

### Dot blot

Dot Blot was used to detect the presence of human IgG and ApoB (exclusion markers) in EV fractions after SEC. 25  $\mu$ l of each fraction were blotted onto 0.45  $\mu$ m nitrocellulose membranes and incubated for 15 min at RT. Membranes were washed with TBS-Tween 0.1%, and incubated with guanidine chloride 6 M for 5 min at RT. After 3 more washes with TBS-T 0.5%, the membranes were blocked with TBS-0.5% BSA for 30 min and incubated at 4 °C overnight with primary antibodies (antihuman IgG-HRP (Duko #P0214) or anti-ApoB (Santa

Cruz, # SC-13,538)), at a dilution of 1/500 in TBS-BSA 5%. Membranes were washed twice for 15 min with TBS-Tween 0.5% under gentle agitation, incubated with secondary antibody (anti-mouse HRP, dilution 1/10.000 in TBS-BSA 5%) for 1 h at RT and washed twice 15 min with TBS-Tween 0.5% prior to detection using the Supersignal West Femto 10% – ECL 90% solution. Image acquisition was done with Fusion Solo Western Blot & Chemi Imaging (Vilber<sup>®</sup>). Dot blot results can be found in supplementary file 3.

# Isolation and content analysis of CD56 positives EVs population

EVs isolated by SEC were concentrated by ultrafiltration (Pierce Protein Concentrators PES 10 K, 2-6 ml, (ThermoFisher scientific, #88,527) at 4000 g for 15 min at 4°C. Concentrated EVs were resuspended in DPBS to reach a final volume of 500 µl. The isolation of an EV subpopulation was carried out on 100 µl of concentrated EVs. CD56 (neuronal cell adhesion molecule 1, NCAM1) positive EVs were isolated with the Exo-Flow kit (System Biosciences, #CSFLOWBASICA-1) following the manufacturer's protocol, with biotinylated anti-CD56 antibody (BioLegend, #318,319). After elution, EVs were concentrated by centrifugation at 100.000 g for 1h30 at 4°C. EV pellets were resuspended in 25 µl of RIPA lysis buffer (Triton 0.5%, 25mM Tris pH 7.5, 0.5% NP40), sonicated for 1 min on ice, and centrifuged (10.000 g, 10 min, 4°C). The supernatant was stored at minus 80 °C for further analysis. Concentrations of AB42, AB40 and total-tau were determined using Simoa (Neurology 3 plex A kit). Lysis buffer compatibility was verified before analysis (Quanterix recommendation for lysis buffer use with Simoa Bead-Based Assays: Tris 25mM pH7-8, Triton – NP-40≤1%). By using our RIPA buffer, no interference with the quantification of beads-based assays was observed, with no need to adjust calibrators preparation prior to analysis. In order to compare the results, we had to set a protocol indicating that the same quantity of EVs was isolated each time when using the Exo-Flow kit. To that end, we isolated as described above EVs expressing CD56 (NDEVs) by Exo-Flow. We stained EVs with CFSE-FITC (Life technologies ThermoFisher, #C34554A) by incubating 6 µl of CFSE-FITC with 500 µl of plasma for 1h30 on a rocking wheel. Six different quantities of EVs were used to set the number of EVs giving signal saturation, for which the same and maximal number of EVs is considered to be linked to the beads. Using the concentrated EV fraction (500 µl) obtained after ultrafiltration from a control participant, we tested respectively 100 µl  $(\pm 2.10^5 \text{ EVs})$ , 50 µl  $(\pm 1.10^5 \text{ EVs})$ , 10 µl  $(\pm 2.10^4 \text{ EVs})$ , 5µl  $(\pm 1.10^4 \text{ EVs})$ , 1 µl  $(\pm 2.10^3 \text{ EVs})$ , and a negative control without EVs (only beads and antibody). Nanoparticle tracking analysis (NTA) (ZetaView °, Particle Metrix)

measurement was performed to calculate the EVs number in each condition. 40  $\mu$ l of magnetic beads coupled to biotinylated anti-CD56 antibody were used for every condition. After isolation, bead-EVs complexes were analyzed by FACS (BD FACS Canto II<sup>®</sup>) to evaluate the FITC signal (associated to EVs signal). Results are presented in supplemental data (see Supplementary Fig. 1). Bead saturation occurred at 100  $\mu$ l of EVs. Our measurements indicated that using 100  $\mu$ l of EVs after SEC and concentration to 500  $\mu$ l total volume was a reliable method for obtaining a consistent and maximal number of NDEVs under our experimental conditions.

### Statistical analysis

Statistical analyses were performed using GraphPad Prism 10 software. Parametric tests were performed when data followed a normal distribution. Otherwise, non-parametric tests were performed. When two groups were compared, parametric Student's t-test or non-parametric Mann Whitney test were used, or Welch's t-test when SD where different between data. For correlations analyses, parametric Pearson's test and non-parametric Spearman's test were used. Simple linear regression was used to illustrate the correlations on the graphs. Significance is indicated as: ns=non-significant, \*P<.05, \*\*P<.01, \*\*\*P<.001 and \*\*\*\*P<.0001. Analysis of covariance (ANCOVA) was performed to evaluate the effect of age, sex, and APOE status in all the correlation analyses.

### Results

We first collected plasma samples from 236 CN participants and 55 AD patients and established an accurate and reliable protocol to isolate EVs from those samples, in order to discriminate free-circulating soluble AD biomarkers and those present in blood vesicles originating from the central nervous system. Descriptive parameters of all different groups are listed in Table 1, distinguishing among CN individuals *APOE* e4 carriers (n=68) and *APOE* e4 non-carriers (n=168). The full characterization of EVs isolated from CN is shown in Fig. 1.

## AD soluble plasma markers vary according to APOE ε4 genotype or AD status

AD soluble plasma markers were measured across the cohort. Figure 2 shows the quantification of soluble Aβ40, Aβ42 and total-tau in plasma. We observed a significant decrease in soluble plasma AB42 and AB42/ A $\beta$ 40 ratio in CN APOE  $\epsilon$ 4 carriers when compared to CN APOE  $\varepsilon$ 4 non-carriers (Fig. 2.B, P=.019 for A $\beta$ 42 and Fig. 2.D P=.030 for A $\beta$ 42/A $\beta$ 40). No differences in soluble Aβ40 (Fig. 2.A, P=.89) or total-tau (Fig. 2.C, P=.83) were observed between CN APOE ɛ4 carriers and noncarriers. In AD patients, we observed significantly lower concentrations of soluble A $\beta$ 42 (Fig. 2.F; P=.0037), and higher concentrations of total-tau (Fig. 2.G, P=.005) than in CN (APOE carriers and non-carriers pooled together). The AB42/AB40 ratio (Fig. 2.H) was significantly decreased in AD patients when compared to CN (P < .0001). Of note, the concentration of soluble plasma Aβ40 was also higher in AD patient (Fig. 2.E, P=.0027). Values distributions of each biomarker quantified are represented in Fig. 2.E' to H'. These two Gaussian distribution models illustrate the fold change in the mean values. The most robust fold change (1.23) appears for the soluble A $\beta$ 42/A $\beta$ 40 ratio which appears as a prime candidate for clinical diagnostic applications.

### NDEVs represent 3% of the EVs circulating in plasma

To validate our method of EV purification, we first characterized the EVs isolated from the plasma of CN participants. Particle concentration and size distribution was determined in each SEC fraction with Nanoparticle Tracking Analysis (Fig. 1.A). Particles were only detected in fractions 7 to 12, with higher concentrations in fractions 8 and 9. The particles detected ranged from 50 nm to 350 nm in size, with most particles measuring 150-200 nm, corresponding to the expected size of EVs. Additional experiments indicated that inclusion markers were strongly enriched in fractions 7 to 12. The expression profiles of these different EV markers were quantified using the ELISA-DELFIA immunoassay (see Methods, Sect. 2.7), revealing 89% of total CD9 signal, 80% of total CD81 signal, and 73% of total CD63 signal (Fig. 1.A'). The exclusion markers were predominantly found in fractions 13 to 24, wherein 89% of Human Serum Albumin, 92%

### Table 1 Study's population characteristics

Characteristics	Cognitive normal (CN, n = 236)		AD patients (AD, n = 55)	
	APOE ε4- (n = 168)	APOE ε4+ (n=68)	APOE ε4- (n = 18)	APOE ε4+ (n = 37)
Age at plasma collection (years, mean±SD)	66.9±7.5	65.3±7.8	68.2±9.5	71.2±8.5
Female (%)	116, (69)	40, (59)	7, (39)	22, (59)
MMSE (out of 30, mean $\pm$ SD)	28.7±1	28.6±1	$26.3 \pm 3.4$	$23.7 \pm 4.1$

Abbreviations: MMSE = mini-mental state examination. Cognitive assessments were performed within 2 months of the blood draw. APOE e4 carriers ( $\epsilon$ 4+) and APOE e4 non-carriers ( $\epsilon$ 4–) indicate individuals carrying at least one e4 allele of the APOE gene (+) or none (–)



**Fig. 1** Extracellular vesicles characterization. **A.** Nanoparticle tracking analysis (NTA) after size exclusion chromatography of 500µl of plasma. Nanoparticles were only detected in elution fractions 7 to 12 (only these fractions are displayed on the graph here). NTA provided the number and size of particles detected. The mean size of the particles was 150nm, that corresponds to the expected size of extracellular vesicles (EVs). **A'**. The particles were further characterized by using EVs inclusion markers (CD9, CD81 and CD63) and exclusion markers measured by DELFIA-ELISA (HAS) or human IgG and ApoB measured by dot blot. Protein content was measured by bicinchoninic acid assay (BCA). EVs inclusion markers were highly present in fractions 7 to 12 whereas exclusion markers were almost absent. **B.** Characterization of the neurally-derived EVs (NDEVs) population by flow cytometry (NovoCyte Quanteon). **B.1.** Full EVs population scatter plot using CFSE-FITC staining (x-axis) and side scatter measurement (SSC, y-axis). Gating (R1) was performed to isolate EVs (FITC-positive). **B.2.** Double staining was performed using CFSE-FITC and CD9-APC, as validation step for the EVs population scattering. 99% of the events present in the R1 gate (positive for CFSE-FITC) were positive for CD9-APC, which confirms that the events detected in R1 are EVs. **B.3.** Triple staining for NDEVs population detection was performed using CFSE-FITC, CD9-APC and CD56-eFluor450. The estimated NDEVs population (R3) represent 3% of the total EVs population (R1)

of total IgG, 98% of total protein, and 90% of ApoB were detected. Next, we characterized the subpopulation of brain-derived EVs referred to here as Neurally-derived EVs or NDEVs using flow cytometry. EVs were stained with CFSE-FITC and selected based on the size scatter scale and FITC signal (Fig. 1.B). We confirmed that the population selected consisted of EVs by performing double staining with CFSE-FITC and CD9-APC, where 99.36% of the total EV population tested positive. Finally, we added CD56-eFluor450 staining to the CFSE-CD9 condition to quantify the number of EVs expressing Neuronal Cell Adhesion Molecule 1 (NCAM1 or CD56) and thus derived from the CNS. Only 3% of the CFSE-CD9

positive population tested positive for CD56 (NCAM1), indicating that the NDEVs population represented around 3% (1/30) of the EVs circulating in the bloodstream (Fig. 1.B.3). When only stained with CFSE-FITC and CD56-eFluor450 (not CFSE-CD9, data not shown), up to 4% of CFSE-FITC positive events were positive for CD56-eFluor450. This indicates the presence of NDEVs in plasma, representing between 3% and 4% of the total EVs circulating in plasma.

### **Relative quantification of NDEVs in plasma**

We next investigated whether the number of NDEVs could be used as an AD biomarker in plasma samples.



**Fig. 2** Quantification of soluble amyloid  $\beta$  (A $\beta$ ) 42, A $\beta$ 40 and total-tau circulating in plasma by Simoa (Neurology 3 plex A, Quanterix). **A** to **D**: comparison of soluble plasma AD biomarkers in CN *APOE*  $\epsilon$ 4 carriers and  $\epsilon$ 4 non-carriers. **B**. Plasma soluble A $\beta$ 42 is significantly decreased in *APOE*  $\epsilon$ 4 carriers (student's t test, P = .0186); **D**. Plasma ratio A $\beta$ 42/A $\beta$ 40 is also significantly lower in CN *APOE*  $\epsilon$ 4 carriers (student's t test, P = .0299), **A** and **C**. there were no significant differences regarding plasma soluble A $\beta$ 40 and total-tau. **E** to **H**: comparison of soluble plasma AD biomarkers in AD and CN participants. Gaussian distribution of the values with fold change of the mean value is presented under each graph (**E' to H'**). **E**. Plasma soluble A $\beta$ 40 is significantly higher in AD patients (student's t-test, P = .0027), **F**. A $\beta$ 42 is lower in AD patients (student's t-test, P = .012), **G**. total-tau is higher in AD patients (student's t-test, P = .0052); and **H**. plasma ratio A $\beta$ 42/ $\beta$ 40 is significantly reduced in AD patients when compared to CN participants (student's t-test, P = .00027).

Relative plasma NDEV levels were measured in a subpopulation of CN participants (n=62, mean age of 64.9 [7.02], mean MMSE of 28.7 [1.15], 32 women [60.4%], *APOE*  $\varepsilon$ 4 carriers/ $\varepsilon$ 4 non-carriers [27/35]) and AD patients (n=54, mean age of 70.1 [8.82], mean MMSE of 24.2 [4.3], 30 women [54.5]). Results are shown in Fig. 3. No significant differences were observed in CN individuals regarding the *APOE*  $\varepsilon$ 4 genotype (Fig. 3.A;

**CN** population



**Fig. 3** Plasma NDEVs quantifications measured by ELISA-DELFIA immunoassay. The relative numbers of NDEVs are calculated as the ratio of CD56 signal on CD81 signal. (**A**) Measurement of NDEVs in plasma from CN *APOE*  $\epsilon$ 4 carriers and non-carriers (Mann-Whitney test, *P*=.93); (**B**) Age-related variation of plasma NDEVs quantity from CN *APOE*  $\epsilon$ 4 carriers and non-carriers (Simple linear regression, Spearman correlation test, *r* = -.006 and *P*=.98 for  $\epsilon$ 4 non-carriers; *r*=.13 and *P*=.55 for  $\epsilon$ 4 carriers). (**C**) Measurement of NDEVs in plasma from CN participants and AD patients (student's t-test, *P*=.28); (**D**) Variation of plasma NDEVs quantity with cognitive loss progression (CN participants are displayed in green, and AD patients in red, Simple linear regression with Spearman correlation test, *r*=.02, *P*=.84)

P=.93). Importantly, there was no correlation between age and plasma NDEVs levels in CN *APOE*  $\varepsilon$ 4 carriers or non-carriers (Fig. 3.B). Plasma NDEVs levels were not significantly different in AD patients compared to CN individuals (Fig. 3.C, P=.28). In agreement with this observation, we did not observe any correlation between NDEVs and MMSE scores in the AD group (Fig. 3.D).

## Inverse correlation between soluble amyloid biomarkers and NDEVs level in CN individuals

Our results indicated that plasma NDEVs numbers do not significantly change (i) with age in CN individuals,

(ii) between CN individuals and AD patients, (iii) with decreased MMSE performance. This indicates that the number of plasma NDEVs do not appear as a clinical biomarker to discriminate between CN individuals and AD patients or to monitor cognitive decline [21]. Considering that EVs and in particular exosomes can be produced in multivesicular bodies (MVBs) formed in early endosomes [22] where APP amyloidogenic processing occurs [23], recent findings showed that A $\beta$  is readily present in extracellular vesicles [24]. We next investigated the correlation between AD soluble plasma biomarkers, NDEVs number and their content in AD biomarkers (i.e. A $\beta$ 42,



**Fig. 4** Correlations between plasma biomarkers, plasma NDEVs level and NDEVs associated biomarkers. **Left column (Plasma biomarkers)**: correlations between plasma soluble AD biomarkers quantity and plasma relative NDEVs quantity (ELISA signal ratio CD56/81) in AD (red) and CN (green) populations. Statistical Analysis: Pearson correlation and simple linear regression were conducted, with 95% confidence intervals displayed for each curve. ANCOVA was performed to assess the confounding effects of age, biological sex, and APOE status. **A.** Strong correlation in CN for soluble Aβ42 and NDEVs quantity in plasma (P=.031, adjusted P=.0049), loss of correlation in AD (P=.22, adjusted P=0.4123), no differences in slopes (P=.0678), significant difference in intercepts (P=.001); **B.** significant correlation between Aβ40 and NDEVs quantity in plasma of CN (P=.009, adjusted P=.0313), loss of correlation in AD (P=.25, adjusted P=.06395), no difference in slopes (P=.064), or in intercepts (P=.2333). **Right column (NDEVs associated biomarkers)**: correlations between NDEVs content measured by Simoa (N3PA kit) in the same number of EVs ( $\pm 2.10^5$  EVs, cf. Supplementary File 1 for details), and the relative NDEVs quantity in plasma (ELISA signal ratio CD56/81) in AD and CN populations. Statistical Analysis: Spearman correlation and simple linear regression were conducted, with 95% confidence intervals displayed for each curve. ANCOVA was performed to assess the confounding effects of age, biological sex, and APOE status. **A'**. Strong correlation between Aβ40 carried in NDEVs relative quantity in plasma for both CN (P=.031, adjusted P=.0095) and AD (P=.0048, adjusted P=.0015, adjusted P=.0015, adjusted P=.0012, adjusted P=.0023, adjusted P=.0025, adjusted P=.0012, adjusted P=.0025, adjusted P=.0012, adjuste



**Fig. 5** Comparison of the content in AD biomarkers of plasma NDEVs analyzed by Simoa. For the analysis of NDEVs content, we focused on subgroups distributed across the plasma NDEVs spectrum, ranging from low circulating participants to high circulating participants. In the CN group, n = 27, with a mean MMSE of 28.76 [1.27], mean age of 61.78 [14.8], 16 women [59.3%], and mean CD56/81 of 0.768 [0.10]. In the AD group, n = 14, with a mean MMSE of 21.9 [5.09], mean age of 66.4 [16.7], 6 women [42.8%], and mean CD56/81 of 0.742 [0.09]. Comparisons were done with Mann Whitney test. (**A**) A $\beta$ 42 contained in NDEVs do not change in AD (P = .21); (**B**) A $\beta$ 40 either (P = .46); (**C**) the ratio A $\beta$ 42/A $\beta$ 40 do not change in AD (P = .14); and (**D**) total-tau either (P = .06)

Aβ40 and total tau). Results are summarized in Fig. 4 and detailed scatter plots can be found in Supplementary Fig. 2. In CN participants (displayed in green on Fig. 4), we found a significant negative correlation between the number of plasma NDEVs and the concentration of soluble plasma Aβ42 and Aβ40 (Fig. 4, A. and B., Pearson's correlation; for A $\beta$ 42: *P*<.0001, r = -0.51; for A $\beta$ 40: P=.0003, r = -0.45). For Aβ42, up to 27% of the variation observed among CN participants was explained by the number of NDEVs circulating in the blood (simple linear regression,  $R^2 = 0.27$ ); for Aβ40, it was close to 20% (simple linear regression,  $R^2 = 0.20$ ). In parallel, A $\beta$  content was measured in NDEVs (Fig. 4, A' and B'). Experimental procedures were set to ensure that equal numbers of EVs were measured in each condition. High numbers of NDEVs were correlated with high individual content in Aβ42 and Aβ40 (Spearman's correlation, for A $\beta$ 42: *P*=.015, *r*=.47, for A $\beta$ 40: *P*=.007, *r*=.52). In AD patients (displayed in red on Fig. 4), soluble  $A\beta 42$  and Aβ40 concentrations in plasma were not correlated to the quantity of plasma NDEVs (Fig. 4, A. and B.) (Pearson correlation, for A $\beta$ 42: *P*=.22, *r* = -.17, for A $\beta$ 40: *P*=.26, r = -.15). However, we saw a strong correlation between NDEVs quantity and their content in  $A\beta$  in AD patients (Sperman's correlation, for A $\beta$ 42: *P*=.0065, *r*=.69; and for A $\beta$ 40: *P*=.0082, *r*=.72).

### Inverse correlation between soluble total-tau and NDEVs level in CN volunteers

As for A $\beta$ , soluble plasma total-tau in CN individuals negatively correlated with the number of plasma NDEVS (Fig. 4.C, Pearson's correlation, *P*<.0001, r = -0.52). Measuring EVs content for total-tau, we found a significant correlation between the quantity of total-tau in NDEVs and the number of plasma NDEVs (Fig. 4.C, Pearson's

correlation, P < .05, r = .49) as observed for A $\beta$ 42 and A $\beta$ 40. In AD patients, there was no significant correlation between NDEVs levels and soluble plasma total-tau (Fig. 4.C), but the content of total-tau in NDEVs strongly correlated with NDEVs quantity (Fig. 4.C, Spearman's correlation, P < .0001, r = .73).

# No differences of soluble AD biomarkers quantity linked to NDEVs between AD and CN individuals

We further investigated the potential use of NDEVs-associated amyloid and tau as AD biomarkers. A $\beta$ 42, A $\beta$ 40 and total-tau quantifications were done on the same number of NDEVs for each participant (see Supplementary Fig. 1 for details). For the three biomarkers tested, concentrations were not significantly different in AD compared to CN participants (Fig. 5. A, B and D) (Mann Whitney test). A $\beta$ 42/A $\beta$ 40 ratio was also similar (Fig. 5. C) (Mann Whitney test) but we observed a non-significant trend for a decreased A $\beta$ 42/40 ratio in the NDEVs of AD patients.

### Discussion

Blood biomarkers are of growing interest for early detection of AD, monitoring its progression, and measuring the effects of disease-modifying therapies. However, their implementation in clinical practice faces challenges due to low concentrations of AD biomarkers (A $\beta$ , tau) in blood, requiring reliable measurement techniques and standardized sample preparation methods. In our study, we established a standardized protocol to measure freecirculating soluble A $\beta$ 42/40/total-tau, NDEVs, and their content in A $\beta$ 42/40/tau by Simoa in human plasma samples, with the goal of assessing their potential as AD biomarkers. Our major findings are that (i) A $\beta$ 42/40 ratio significantly discriminates between CN individuals and



**Fig. 6** Summary of the main findings. In the non-AD condition (**left part**), tau protein and amyloid are found either circulating in plasma as soluble (freely circulating) or linked to NDEVs. For total-tau, Aβ42 and Aβ40, the proportion of soluble plasma biomarkers is highly correlated with plasma NDEVs quantity and their content in biomarkers. This distribution between NDEVs and the soluble compartment is balanced in non-AD (as represented in the **bottom-left graph**), reflecting the mechanisms of tau and amyloid production at the neuronal level observed in in vitro models. In AD pathology (**right part**), the release of Aβ and tau is increased, leading to an increased concentration of tau, and a transitional increase of Aβ42 – 40, in the CSF. This amyloid is more prone to aggregation and will form amyloid plaques, resulting in a decreased quantity in the CSF. The same pattern is observed in the plasma of AD patients, but the dynamics regarding plasma NDEVs are here imbalanced by the development of AD pathology (as represented in the **bottom-right graph**). The content or number of NDEVs seems not to be good AD biomarkers, whereas variations in plasma soluble biomarkers are more accurate for AD diagnosis

AD patients; (ii) NDEVs are present in the blood (around 3% of total blood EVs), but their number does not change with age or with AD dementia; (iii) in CN individuals, there is an inverse correlation between soluble AD biomarkers and the number of NDEVs. Strikingly, the content of AD biomarkers in NDEVs does not significantly change between CN individuals and AD patients and it does not therefore appear as a diagnostic tool to identify AD patients when quantified by Simoa.

### **Plasmatic soluble AD biomarkers**

Previous studies have identified significant differences in plasma levels of A $\beta$ 40, A $\beta$ 42, and total-tau between AD patients and CN individuals [21, 25, 26]. Interestingly, we observed a slight but significant decrease in A $\beta$ 42 concentration and A $\beta$ 42/40 ratio in CN *APOE*  $\epsilon$ 4 carriers, emphasizing the connection between *APOE*  $\epsilon$ 4 and amyloid pathology. This suggests plasma A $\beta$ 42/40 ratio as a candidate biomarker to detect preclinical stages of at-risk individuals (i.e. *APOE*  $\varepsilon$ 4 carriers) to develop AD. The fold change in the Aβ42/40 ratio between CN and AD patients measured in plasma (1.23) is markedly lower than the one measured in CSF [27]. Amyloid and tau concentrations in plasma are approximately tenfold lower than those in CSF [28, 29]. Conversely, the total protein content in plasma is tenfold higher than in CSF [30], rendering the quantification of plasma biomarkers more exposed to biases likely assay cross reactivities or buffering due to the binding to serum albumin present at high concentration in plasma.

Although we observed a significant increase in soluble total-tau in AD plasma samples, we found it to be a less robust biomarker than A $\beta$ 42/40 ratio. These results are consistent with many studies that support that total tau [31–33] is more efficient when used in combination with other biomarkers, such as soluble A $\beta$ 42, to identify patients with AD. Though increase in CSF total-tau is used routinely in clinic for AD diagnosis, we are fully aware that phospho-tau measurements (e.g. p-Tau 181 or p-Tau 217) are more sensitive to detect AD pathology [31], they were not possible to achieve in the triplex panel available. Further experiments would be useful to complete this biomarkers.

We must assume that only one fraction of A $\beta$  and tau present in the CSF flows to the blood stream, or that peripheral A $\beta$  which has been shown to regulate A $\beta$ clearance from the CNS might interfere in the measurement. In that respect, isolating NDEVs and measuring NDEVs content is of prime interest, as they might better reflect differences between AD and CN individuals than soluble, free-circulating markers.

### NDEVs as an AD biomarker

The analyze of NDEVS was the second outcome of this study, aiming to evaluate their potential as biomarkers for neurodegenerative conditions and their impact on soluble biomarker measurements, considering their role in amyloid and tau propagation While few studies have explored NDEV quantities as AD biomarkers, Kapogiannis et al. [34]. did not observe any differences in NDEVs quantity between AD patients and CN controls using L1CAM as a neuronal marker to identify NDEVs within the total EVs pool. However, the use of L1CAM has become controversial due to its presence in a soluble form in plasma, unrelated to EVs [35]. In our research, we employed a different neuronal marker, NCAM1 (neuronal cell adhesion molecule one, also referred as CD56), expressed by neuronal cells. Despite being expressed by cell types other than neurons and especially NK cells [36], NCAM1 is currently the best available tool to isolate or quantify EVs derived from the CNS in plasma. In the present study, we employed FACS analysis for NDEVs detection as a confirmatory method, as no previous study, thus enabling the validation of NDEVs isolation and the estimation of this EVs subpopulation to comprise 3% of circulating EVs in plasma. In agreement with Kapogiannis' study using L1CAM, we did not find any significant differences in plasma NDEVs quantity between AD and CN groups in ELISA quantifications. The production of EVs in the brain - at least those than can be monitored in the blood - does not seem to be affected by disease conditions. Consequently, levels of neuronal-derived EVs appears neither as a marker of brain aging nor as an indicator of dementia onset or progression, as no changes were observed in AD patients upon deterioration of the MMSE score. If not the number, the content of NDEVs might then reflect pathological conditions.

### NDEVs content as an AD biomarker

We found that the levels of A $\beta$ 42, 40 or total-tau associated to NDEVs were not altered in AD patients when quantified using Simoa. The concept of NDEVs content as an AD biomarker is an emerging field with limited available literature, and there is currently no consensus on the variations observed in AD patients. Other studies using Simoa found similar results regarding  $A\beta 42$  [34], or total tau [37] carried in NDEVs. Conversely, when quantified by ELISA, significantly elevated Aβ42 levels were reported in plasma NDEVs of AD patients [38-40]. For total-tau quantified by ELISA, similar results as for A $\beta$ 42 were reported [39, 40], but other immunoassays gave inconsistent results, with no significant differences of total-tau in NDEVs of AD patients [41]. The inconsistency in results across studies might stem from variations in the techniques used for biomarker quantifications (e.g., Simoa, ELISA kits), NDEVs isolation (e.g., the use of NCAM1 and/or L1CAM as primary target for NDEV immunoprecipitation), and isolation of extracellular vesicles (EVs) from plasma. Still, our study here supports the hypothesis that neither the number or the content of NDEVs measured in plasma does not discriminate AD patients from CN individuals.

### The relation between plasma NDEVs amount, their content and soluble AD biomarkers could reflect the dynamic of biomarkers production at the neuronal levels that is impaired in AD pathology

Levels of free-circulating A $\beta$ 42, A $\beta$ 40 and total-tau in plasma inversely correlated with NDEVs quantity in CN individuals, indicating that higher NDEV numbers corresponded to lower soluble amyloid and tau concentrations, including among CN individuals at low-risk (*APOE* ε4 non-carriers). However, the quantity of biomarkers linked to NDEVs was also correlated to NDEVs number (when analyzed on the same amount of NDEVs), with higher numbers of NDEVs circulating associated to

higher content in AD biomarkers. Together, these results strongly suggest a link between soluble AD biomarkers and the number of NDEVs, with biomarkers circulating either freely or embedded in EVs, but with a balance between their respective concentrations only in CN individuals (ref Fig. 6). In AD patients, this correlation between soluble AD biomarkers and NDEVs was lost, reflecting potential changes in A $\beta$  production and release during AD pathogenesis. It has been found that A $\beta$  is present in MVBs and can be released into the extracellular space through EVs in neurons. Recent studies have demonstrated the presence of APP,  $\beta$ - and  $\gamma$ - secretase in exosomes and at the endosomal level [42–44] providing further evidence of a clear link between amyloid and EVs.

Increased soluble Aβ40 found in the plasma of AD patients may reflect and overall increase in Aß production in AD condition. Decreased soluble Aβ42 and consequently more pronounced decrease in the AB42/40 ratio is likely to reflect A $\beta$ 42 aggregation and deposition in AD pathology, leaving less Aβ42 available. Aβ42 aggregates are not detected in the current assays but would be very valuable tool to track AD pathology in blood samples. If plasma A $\beta$  measurement truly reflects A $\beta$  production and release in the brain parenchyma, our result would indicate an equilibrium existing between the release of soluble  $A\beta$  and  $A\beta$  embedded in vesicles in healthy conditions (CN). This balance seems to be broken in AD conditions, with soluble Aβ42 decrease more likely to be a consequence of amyloid- $\beta$  deposition the brain than vesicular A $\beta$  (NDEVs) that does not significantly decrease in AD condition. The possible interconnection between these observation and underlying mechanisms is summarized in Fig. 6.

### Limitations of this study

In our study, the analysis of NDEVs and the results of the Simoa assay may be constrained by the significant challenge of working with such a small protein fraction. Although the isolation protocol enabled the consistent isolation of NDEVs from each participant, it captured only a small fraction of the total NDEVs pool in plasma, resulting in very low biomarker quantities for some participants. This limitation could explain the values close to the lowest limit of quantification observed with Simoa when comparing NDEVs content between AD and CN participants (Fig. 5). Future studies should focus on improving the isolation protocol to increase the total number of NDEVs, thereby enhancing the concentration of detectable biomarkers. Another limitation was the use of NCAM1, which, although currently the best option, exclusively labeling neurally-derived EVs. Identifying a specific EV marker for neurons could improve the isolation of this particular subpopulation.

### Conclusions

In conclusion, our study provides valuable insights into the challenges regarding the use of blood biomarkers for AD diagnosis. The intricate relationships observed between NDEVs and soluble AD biomarkers emphasize the need for comprehensive consideration when interpreting biomarker levels in CN participants.

#### Abbreviations

Αβ	Amyloid peptide-β
AD	Alzheimer's disease
APOE	Apolipoprotein E
APP	Amyloid Precursor Protein
CN	Cognitively normal
CSF	Cerebrospinal fluid
EVs	Extracellular vesicle
MMSE	Mini-Mental State Examination
NCAM	Neuronal Cell Adhesion Molecule
NDEVs	Neurally-Derived Extracellular Vesicle
SEC	Size Exclusion Chromatography
Simoa	Single Molecule Assav

### **Supplementary Information**

The online version contains supplementary material available at https://doi.org/10.1186/s13195-024-01508-6.

Supplementary Material 1

### Acknowledgements

We thank all participants and the Stichting Alzheimer's Onderzoek for their help in our study. We thank Daniela Savina, Yasmine Salman, Lisa Quenon and Lara Huyghe for their technical supports.

### Author contributions

Conceptualization, E.B.; methodology, E.B. and L.D; software, E.B.; formal analysis, E.B., L.D., M.D. and K.S.; investigation, E.B., L.C and B.H.; resources, B.H., P.K.C.; writing—original draft preparation, E.B.; writing—review and editing, E.B., L.D., L.C., M.D, N.S., B.H and P.K.C.; visualization and figures conception, E.B and L.D; supervision, B.H., P.K.C.; funding acquisition, B.H. and P.K.C. All authors have read and agreed to the published version of the manuscript.

#### Funding

This work was supported by the Belgian Fund for Scientific Research (grant numbers ASP40001844, CCL40010417, FNRS J.0106.22); the Fonds de la Recherche Fondamentale Stratégique - Walloon Excellence in Life Sciences and Biotechnology (grant number 40010035); the SAO-FRA Alzheimer's Research Foundation (grant number SAO-FRA 2018/0025, and SAO-FRA 2020/0028); the UCLouvain Action de Recherche Concertée (grant number ARC21/26–114); the Fondation Louvain; the Fondation St Luc, the Fonds européen de développement regional and the Queen Elisabeth Medical Foundation.

#### Data availability

No datasets were generated or analysed during the current study.

### Declarations

#### Ethics approval and consent to participate

Participants provided informed consent and demonstrated comprehension of the study's objectives and procedures. All experimental protocols adhered to the ethical standards set forth in the Declaration of Helsinki and underwent approval by local Institutional Review Boards (Institutional Ethics Committee of Clinics Saint-Luc University Hospital, 1200 Brussels, Belgium, Ethical protocols UCL-2022-473; UCL-2016-121 and UCL-2018-119).

### **Consent for publication**

Not applicable.

#### Competing interests

K.S is a member of the Alzheimer's Research & Therapy editorial board. She was not involved in the assessment or decision-making process for this manuscript.

### Received: 15 April 2024 / Accepted: 19 June 2024 Published online: 28 June 2024

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