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# Performance of a fully-automated Lumipulse plasma phospho-tau181 assay for Alzheimer's disease



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#### **Abstract**

**Background:** The recent promise of disease-modifying therapies for Alzheimer's disease (AD) has reinforced the need for accurate biomarkers for early disease detection, diagnosis and treatment monitoring. Advances in the development of novel blood-based biomarkers for AD have revealed that plasma levels of tau phosphorylated at various residues are specific and sensitive to AD dementia. However, the currently available tests have shortcomings in access, throughput, and scalability that limit widespread implementation.

**Methods:** We evaluated the diagnostic and prognostic performance of a high-throughput and fully-automated Lumipulse plasma p-tau181 assay for the detection of AD. Plasma from older clinically unimpaired individuals (CU, n = 463) and patients with mild cognitive impairment (MCI, n = 107) or AD dementia (n = 78) were obtained from the longitudinal Stanford University Alzheimer's Disease Research Center (ADRC) and the Stanford Aging and Memory Study (SAMS) cohorts. We evaluated the discriminative accuracy of plasma p-tau181 for clinical AD diagnosis, association with amyloid  $\beta$  peptides and p-tau181 concentrations in CSF, association with amyloid positron emission tomography (PET), and ability to predict longitudinal cognitive and functional change.

**Results:** The assay showed robust performance in differentiating AD from control participants (AUC 0.959, Cl: 0.912 to 0.990), and was strongly associated with CSF p-tau181, CSF A $\beta$ 42/A $\beta$ 40 ratio, and amyloid-PET global SUVRs. Associations between plasma p-tau181 with CSF biomarkers were significant when examined separately in A $\beta$ + and A $\beta$ - groups. Plasma p-tau181 significantly increased over time in CU and AD diagnostic groups. After controlling for clinical diagnosis, age, sex, and education, baseline plasma p-tau181 predicted change in MoCA overall and change in CDR Sum of Boxes in the AD group over follow-up of up to 5 years.

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**Conclusions:** This fully-automated and available blood-based biomarker assay therefore may be useful for early detection, diagnosis, prognosis, and treatment monitoring of AD.

Keywords: Plasma, Biomarkers, Alzheimer's disease, Phospho-tau

## **Background**

Alzheimer's disease (AD) is the leading cause of dementia worldwide and global dementia prevalence is forecasted to nearly triple by 2050 [1]. Pathologically, AD is characterized by the accumulation of  $\beta$ -amyloid-containing plaques and the presence of intraneuronal neurofibrillary tangles comprised of hyperphosphorylated tau protein [2]. These pathological changes occur decades prior to symptom onset and clinical diagnosis [3]. Accordingly, accurate and early AD diagnosis is important for therapeutic intervention and outcomes. As such, there is a critical need for biomarkers that detect early signs of AD during the biochemical phase of the disease, such as among clinically unimpaired (CU) individuals with initial amyloid and tau accumulation (e.g., preclinical AD) [4].

Although cerebrospinal fluid (CSF) biomarkers and PET imaging can be used to detect early AD pathology, their invasiveness and cost preclude widescale application. These limitations have recently been overcome with development of novel AD-specific blood biomarkers, where plasma levels of tau phosphorylated at various residues have been demonstrated to be specific and sensitive biomarkers of AD in CU individuals and individuals with mild cognitive impairment and AD dementia [5–14]. However, currently employed plasma p-tau assays often use low throughput and plate-based approaches that limit scalability. Further, some of these prototype assays rely on proprietary reagents or are not yet commercially available, further limiting their widespread implementation [12]. As a fully-automated assay, the Lumipulse plasma p-tau181 test has the potential to be a highthroughput, fully-automated and available plasma p-tau test for AD.

In this cross-sectional and longitudinal study, we evaluate the diagnostic and prognostic accuracy of the Lumipulse plasma p-tau181 assay in older adults spanning the continuum from CU to MCI to AD. Outcome measures included diagnostic accuracy in distinguishing AD from CU, associations with amyloid and tau burden as measured in CSF and PET, and the ability to predict longitudinal cognitive and functional decline.

#### **Methods**

#### Study participants

Study protocols were approved by the Institutional Review Board of Stanford University. In accordance with the Declaration of Helsinki, written informed consent was obtained from each study participant or their legally authorized representative. Plasma from older clinically unimpaired individuals (CU, n = 463) and patients with mild cognitive impairment (MCI, n = 107) or AD dementia (n = 78) were obtained from the longitudinal Stanford University Igbal Farrukh and Asad Jamal Alzheimer's Disease Research Center (ADRC) and the Stanford Aging and Memory Study (SAMS) cohorts. Participants from the longitudinal Stanford ADRC cohort included 271 CU, 107 patients with a clinical diagnosis of MCI and 78 patients with a clinical diagnosis of AD dementia. Stanford ADRC participants undergo neurological examination, neuropsychological testing, and neuroimaging, and provide blood and CSF samples. Volunteers are asked to return each year for follow-up testing. An additional 192 CU participants were included from the SAMS cohort. SAMS is an ongoing prospective study of memory in CU older adults that seeks to understand how individual differences in memory relate to brain structure, brain function, and molecular and genetic risk for AD, with a key goal of identifying predictors of memory decline and dementia [15, 16]. SAMS eligibility included normal or corrected-to-normal vision/hearing, righthandedness, native English speaking, no history of neurologic or psychiatric disease, a Clinical Dementia Rating (CDR) global score of zero, performance within the normal range on a standardized neuropsychological test battery. SAMS participants undergo lumbar puncture to collect CSF and complete two MRI scanning sessions on a 3T and 7T scanner. For both ADRC and SAMS cohorts, diagnosis was determined at a clinical consensus meeting by a panel of neurologists and neuropsychologists.

## Assessment of global cognitive function

For Stanford ADRC participants, global cognitive function was assessed using the total scores from the Montreal Cognitive Assessment (MoCA) test [17], while Clinical Dementia Rating (CDR®) scale sum of boxes score was used to stage dementia severity based on cognition and function [18]. SAMS participants were not included in analyses of global cognitive function, as MoCA and CDR sum of boxes scores were not available in this cohort.

## **CSF** collection and analysis

CSF from both studies was collected by lumbar puncture and stored in externally threaded Thermo Scientific<sup>™</sup>

Nalgene<sup>TM</sup> General Long-Term Storage Cryogenic Tubes. CSF A $\beta$ 42, A $\beta$ 40, p-tau181, and total tau were measured by the Stanford ADRC Biomarker Core using the Lumipulse G assays (Fujirebio) on the LUMIPULSE G1200 instrument, as previously described [19]. The CSF amyloid  $\beta$  ratio cutpoint was determined using the Youden method to maximize sensitivity and specificity in discriminating clinically-defined AD from CU in the Stanford ADRC population. A CSF A $\beta$ 42/A $\beta$ 40 ratio < 0.091 was found to indicate amyloid pathology in this cohort. Following published guidelines set by the Consensus of the Task Force on Biological Markers in Psychiatry of the World Federation of Societies of Biological Psychiatry, CSF samples were subjected to a maximum of two freeze-thaw cycles [20].

#### Lumipulse plasma p-tau181 analysis

Plasma p-tau181 levels were measured on a modified version of the Lumipulse G CSF p-Tau181 assay for CSF (Cat. # 231654, Fujirebio Diagnostics, US, Malvern, PA) using the LUMIPULSE G1200 instrument as previously described [21]. The Lumipulse G plasma p-tau181 assay antibody combination is based on the well-established and widely-used INNOTEST assay targeting tau epitopes proximal to Thr181, including antibody AT270 for capture, with HT7 and BT2 used for detection [22-24]. Briefly, plasma samples were thawed on wet ice, centrifuged for 5 min at 4°C at 500 × g before being loaded on the fully automated LUMIPULSE G1200 instrument. To minimize potential for non-specific binding, plasma samples were mixed with a heterophilic blocking reagent (200 µg/ml, Scantibodies Inc., Santee, CA) prior to measurement, as previously described [6, 10]. Individuallevel variability was assessed on 6 independent plasma aliquots using a different lot of reagents one year later and showed high test-retest reliability (Pearson's r =0.98). 100% of plasma samples from the current study fell within the quantifiable range (range 0.46–11.47 pg/ml). Samples were tested by experimenters blind to diagnostic information.

#### **Amyloid PET imaging**

Amyloid PET scanning with <sup>18</sup>F-Florbetaben was completed at the Richard M. Lucas Center for Imaging at Stanford University, using a simultaneous time-of-flight (TOF)—enabled PET/MRI scanner (Signa 3 T, GE Healthcare). Emission data was collected between 90 and 110 min following an 8.1mCi (mean) injection of <sup>18</sup>F-Florbetaben. PET data were reconstructed into 5-min frames using standard methods, with zero-TE (ZTE) MR imaging for MR attenuation correction (MRAC) and 5-min frame data were realigned and summed. FreeSurfer v7

regions of interests (ROIs) from the Desikan aparc+aseg atlas were defined on each participant's MRI and used to extract intensity values from the co-registered summed PET data. Standardized uptake value ratios (SUVRs) were created for a global ROI (an average across frontal, parietal, lateral temporal, and cingulate) using a whole cerebellum reference region [25]. The mean delay between the amyloid PET scan and plasma draw was 9.4 weeks (range 0–29.6 weeks). Amyloid-positivity was determined by qualitative reads, using a consensus rating of three trained readers blind to clinical information.

#### Statistical analysis

Given the skewness in the distributions of CSF biomarkers, concentrations were log<sub>10</sub>-transformed to meet the assumptions of Gaussian distribution for the relevant statistical analyses. Differences between clinical diagnostic groups were first examined. Continuous variables were compared using one-way ANOVA. Categorical variables were assessed through chi-square tests. To determine whether plasma p-tau181 differed between clinical diagnostic groups, log<sub>10</sub>-transformed plasma p-tau181 levels were analyzed using ANCOVA with diagnosis as the independent variable of interest, while age and gender were included as covariates. Receiver operating characteristic (ROC) curve analysis was performed to summarize the ability of plasma p-tau181 in differentiating diagnostic subgroups. Tukey corrected post hoc pairwise comparisons were applied to examine differences between diagnostic groups. The nonparametric Mann-Whitney *U* test or Kruskal-Wallis test followed by Dunn's corrected post hoc comparisons (non-parametric) were applied to examine differences between diagnostic groups as a sensitivity analysis.

Plasma p-tau181 associations with CSF and PET biomarkers at baseline were evaluated using linear regression models and controlling for age and sex. Linear regression models were also used to examine the effects of baseline plasma p-tau181, clinical diagnosis group, and their interaction on baseline global cognition after controlling for age, sex, and education. This analysis was repeated for CDR-SB.

Linear mixed models were used to examine (1) longitudinal change in plasma p-tau181, (2) whether baseline plasma p-tau181 predicted change in global cognition (MoCA), and (3) whether baseline plasma p-tau181 predicted change in function (CDR-SB). For the assessment of longitudinal change in plasma p-tau181, we conducted a linear mixed model with fixed effects of clinical diagnosis (CU, MCI, AD) at the time of the blood draw, time from initial blood draw, and their interaction, as well as a random intercept to account for within-subject

correlations. In this model, contrasts were examined to determine whether change in plasma p-tau181 was (1) significantly different than zero within each clinical diagnostic group and (2) whether change over time differed across diagnostic groups. This regression analysis was repeated with additional adjustment of age, sex, and their interactions with time since the initial blood draw. Of the 219 participants with more than one plasma measure (range = 2-5), the mean follow-up time between their first and last blood draw was 2.05 years (SD = 1.03) for CU, 1.99 years (SD = 0.90) for MCI, and 1.86 years (SD = 0.71) for AD.

Specifically, we fitted the following two mixed effects regression models:

$$Y_{ij} = \beta_1 + \beta_2' X_{i,\text{diag}} + (\beta_3 + \beta_4' X_{i,\text{diag}})$$
$$\times t_{ii} + b_i + e_{ii}$$

and

$$\begin{split} Y_{ij} = & \beta_1 + \beta_2' X_{i,\text{diag}} + \beta_3 A g e_i + \beta_4 S e x_i \\ & + \left( \beta_5 + \beta_6' X_{i,\text{diag}} + \beta_7 A g e_i + \beta_8 S e x_i \right) \\ & \times t_{ii} + b_i + e_{ii} \end{split}$$

where

 $Y_{ij}$  is the Plasma p-tau181 level at the *j*th visit for the *i*th participant,

 $t_{ij}$  is the time of the *j*th plasma measurement relative to the first plasma measurement (in years) for the *i*th participant,

 $X_{i, diag}$  is the baseline diagnosis group for the *i*th participant (CU, MCI, and AD),

 $b_i$  is the random intercept for the ith participant, and  $e_{ij}$  is the mean zero random error at the jth visit of the ith participant.

To examine the association between baseline plasma p-tau181 with change in global cognition, we first used mixed regression models including a random intercept and time from initial MoCA, clinical diagnosis (CU, MCI, and AD) at the time of blood draw, age at time of blood draw, sex, education, and their interactions with time as predictors. In this analysis, the interaction between baseline plasma p-tau181 and time indicated whether plasma p-tau181 levels were associated with change in cognition after controlling for demographics and clinical diagnosis. To determine the associations between plasma p-tau181 and change in cognition within each clinical diagnosis as well as whether the association differs between diagnoses, we conducted a second mixed effects regression analysis that additionally included a three-way interaction term between clinical diagnosis, baseline plasma p-tau181, and time. Specifically, we fitted the following two regression models:

Model 1

**Table 1** Participant characteristics by clinical diagnosis

	CU (n = 463)	MCI (n = 107)	AD (n = 78)	<i>p</i> value
Age, years	69.9 ± 7.8	73.0 ± 7.5 <sup>a</sup>	70.1 ± 10.4 <sup>b</sup>	0.0014
Sex, female	278 (60.0)	45 (42.0)	41 (52.6)	0.0026
Plasma p-tau181, pg/ml	$1.68 \pm 0.71$	$2.08 \pm 0.74^{a}$	$3.02 \pm 1.16^{a,c}$	0.001

Abbreviations: AD Alzheimer's disease, CU clinically unimpaired, MCI mild cognitive impairment. Continuous variables expressed as mean  $\pm$  SD while categorical values are expressed as n (%)

 $^a$ , \*\*\*\* vs CU;  $^b$ , \* vs MCI;  $^c$ , \*\*\*\* vs. MCI. Data analyzed using one-way ANOVA with Tukey's post hoc tests for multiple comparisons (age), chi-square (sex), or by ANCOVA with age and sex included as covariates (plasma p-tau181).\* p < 0.05, \*\*\* p < 0.001

$$\begin{split} Y_{ij} = & \beta_{1} + \beta_{2} A g e_{i} + \beta_{3} S e x_{i} + \beta_{4} E ducation_{i} \\ & + \beta_{5}' X_{i, \text{diag}} + \beta_{6} X_{i, P - \tau 181} \\ & + \left(\beta_{7} + \beta_{8} A g e_{i} + \beta_{9} S e x_{i} + \beta_{10} E ducation_{i} \right. \\ & + \beta_{11}' X_{i, \text{diag}} + \beta_{12} X_{i, P - \tau 181}\right) \times t_{ii} + b_{i} + e_{ii} \end{split}$$

and Model 2

$$\begin{split} Y_{ij} &= \beta_{1} + \beta_{2}Age_{i} + \beta_{3}Sex_{i} + \beta_{4}Education_{i} \\ &+ \beta_{5}'\log X_{i,\mathrm{diag}} + \beta_{6}X_{i,P-\tau181} \\ &+ \left(\beta_{7} + \beta_{8}Age_{i} + \beta_{9}Sex_{i} + \beta_{10}Education_{i} \right. \\ &+ \beta_{11}'X_{i,\mathrm{diag}} + \beta_{12}X_{i,P-\tau181} + \beta_{13}X_{i,\mathrm{diag}} \\ &\times \log \left(X_{i,P-\tau181}\right) \right) \times t_{ii} + b_{i} + e_{ii} \end{split}$$

where

 $Y_{ij}$  is the MoCA total scores at the *j*th visit of the *i*th participant,

Age<sub>i</sub> is the centered age for the *i*th participant at the first blood draw,

Sex<sub>i</sub>: is the sex (male, female) of the *i*th participant,

Education is the centered education in years for the ith participant,

 $X_{i, diag}$  is the clinical diagnosis closest to first blood draw (CU, MCI, AD) for the ith participant,

 $X_{i, P-\tau 181}$  is the plasma p-tau181 level for the *i*th participant at the first blood draw,

 $t_{ij}$  is the time in years of the *j*th visit since the first MoCA assessment within 1 year of the first blood draw for the *i*th participant,

 $b_i$  is the random intercept for the *i*th participant, and  $e_i$ : the mean zero random error

These models were repeated with CDR sum of boxes as the outcome (replacing MoCA total score) to examine the relationship with plasma p-tau181. Statistical tests were two-sided at a significance level of  $\alpha = 0.05$ . Tests were performed using GraphPad Prism software and R.

#### **Results**

#### **Participant characteristics**

The study cohort included CU (n=463), MCI (n=107), and AD (n=78) participants. Demographic characteristics of study participants are presented in Table 1. Participants in the MCI group ( $73.0\pm7.5$  years {mean  $\pm$  SD}) were older than those in the CU group ( $69.9\pm7.8$  years) (p<0.001) and the AD group ( $70.1\pm10.4$  years) (p<0.05). The participant groups differed in gender distribution (p<0.01), with the CU group overrepresented with women while the MCI group included more men.

# Lumipulse plasma p-tau181 concentration distinguishes AD from CU

Analysis of Lumipulse plasma p-tau181 across diagnostic groups revealed that concentrations were highest in AD participants followed by MCI and then CU (p all < 0.001) (Fig. 1A). The subset of participants with APOE genotype available (participant characteristics provided in Table S1) showed that APOE  $\varepsilon 4$  allele carriage was associated with higher plasma p-tau181 levels within both the CU and MCI groups (Fig. 1B). In both diagnostic groups CU and MCI, higher plasma p-tau181 was observed in the group of participants with two copies of the APOE  $\varepsilon 4$  allele when compared to the non- $\varepsilon 4$  carrier

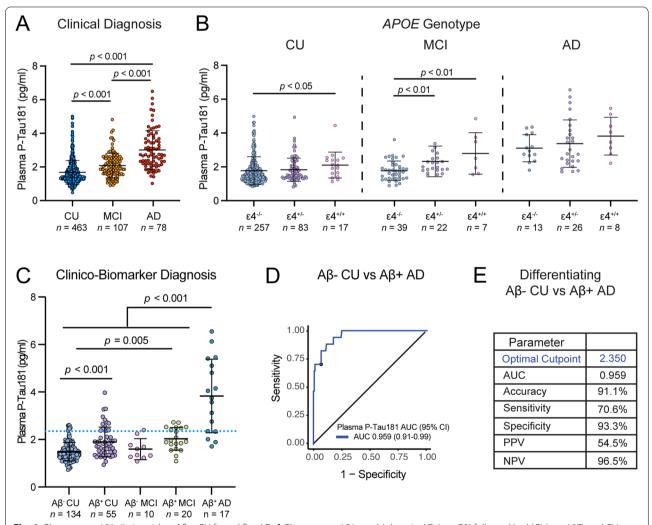


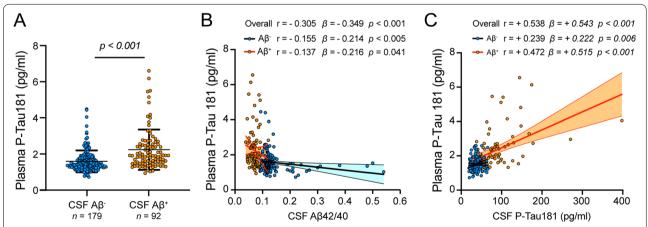
Fig. 1 Plasma p-tau181 distinguishes A $\beta$ — CU from A $\beta$ + AD. A Plasma p-tau181 was highest in AD (n=78) followed by MCI (n=107) and CU (n=463) groups. **B** Plasma p-tau181 was higher in groups with increasing copy number of the APOE  $\epsilon$ 4 allele in CU and MCI. **C** Classification of participants by amyloid-positivity revealed plasma p-tau181 was elevated in A $\beta$ + CU and A $\beta$ + MCI as well as in A $\beta$ + AD. **D** ROC curve analysis of p-tau181 in distinguishing AD cases from A $\beta$ - CU controls. **E** The Youden method was used to determine optimal cutpoint maximizing sensitivity and specificity for distinguishing A $\beta$ + AD cases from A $\beta$ - CU. Log<sub>10</sub>-transformed plasma p-tau 181 data analyzed using ANCOVA with age and sex included as cofactors. Tukey's post hoc test was used for pairwise comparisons (**A**-**C**). Data presented as mean  $\pm$  SD

group (p < 0.05 and p < 0.01, respectively). In MCI, a single copy of the APOE &4 allele was sufficient to produce a group-level increase in plasma p-tau181 levels (p < 0.01). Importantly, the association between plasma p-tau181 and APOE &4 was lost when amyloid (CSF Aβ42/A40) was included in the regression model, suggesting that this association may be driven by the range of amyloid pathology within each diagnostic group. No significant differences were observed in the AD diagnostic group, perhaps owing to a possible ceiling effect in plasma p-tau181 levels in that group.

We next stratified the study population by amyloid status (Aβ+ or Aβ- based on CSF Aβ peptide data—see the "Methods" section) to focus on 134 A $\beta$ - CU, 55 A $\beta$ + CU, 10 A $\beta$ - MCI, 20 A $\beta$ + MCI, and 17 A $\beta$ + AD participants (participant characteristics provided in Table S2). Accordingly, the  $A\beta$ + AD group showed significantly higher plasma p-tau181 levels compared to all other groups (all p < 0.001) (Fig. 1C). Furthermore, plasma p-tau181 was higher in A $\beta$ + CU compared to A $\beta$ - CU participants (p < 0.001). Similarly, plasma p-tau181 was greater in A $\beta$ + MCI compared to A $\beta$ - CU (p = 0.005) and did not differ from A $\beta$ + CU (p > 0.05). ROC analysis revealed plasma p-tau181 distinguished Aβ+ AD from Aβ – CU group with an AUC of 0.959 (CI: 0.912 to 0.990) (Fig. 1D), corresponding to an optimal cut-point (maximizing sensitivity and specificity) of 2.350 pg/ml (Fig. 1E). ROC analyses also showed plasma p-tau181 differentiating  $A\beta+AD$  from  $A\beta+CU$  with an AUC = 0.886 (CI: 0.784 to 0.966). At the same time, plasma p-tau181 differentiated Aβ+ MCI from Aβ- CU with an AUC = 0.771 (CI: 0.668 to 0.872) and differentiated the  $A\beta$ + CU group from the  $A\beta$ - CU group with an AUC = 0.669 (CI: 0.582 to 0.749). Notably, there was poor discrimination between MCI and A\(\beta+\) CU (AUC = 0.492; CI: 0.349 to 0.623). These ROC analyses suggest the plasma p-tau181 level more closely reflects the  $A\beta$ + vs  $A\beta$ - distinction, rather than the clinical distinction. Applying the cut point for plasma p-tau181-positivity, we found 21.5% of the overall study population was plasma p-tau181-positive (62.1% of whom were also  $A\beta+$ ), 24.1% of the MCI group (85.7%  $A\beta+$ ) and 76.2% of the AD group (81.3% A $\beta$ +) were positive for plasma p-tau181. Furthermore, 11.8% of the CU group (53.8%  $A\beta+$ ) were plasma p-tau181-positive. Taken together, these results highlight the diagnostic accuracy of the Lumipulse plasma p-tau181 assay in distinguishing clinical AD as well as in detecting AD-related change in non-symptomatic (CU) and mildly symptomatic (MCI) groups.

# Relationship between concentration of plasma p-tau181 with CSF amyloid $\beta$ peptides and tau

In this same subset of participants with CSF amyloid and tau data described above, we found that CSF A $\beta$ + participants had significantly higher plasma p-tau181 compared to A $\beta$ - participants when controlling for age and sex (p < 0.001) (Fig. 2A). When examining continuous effects of amyloid, plasma p-tau181 was significantly associated with CSF A $\beta$ <sub>42</sub>/A $\beta$ <sub>40</sub> ( $\beta$  = -0.349, p < 0.001) and this association remained significant when examined in A $\beta$ + and A $\beta$ - groups separately ( $\beta$  = -0.216, p < 0.05 and  $\beta$  = -0.214, p < 0.01, respectively)



**Fig. 2** Plasma p-tau181 associates with amyloid and tau CSF biomarkers. **A** Plasma p-tau181 was higher in CSF  $A\beta+$  (n=92) compared to  $A\beta-$  participants (n=179). **B** Plasma p-tau181 was positively associated with CSF  $A\beta+$  (orange circles), as well as in  $A\beta-$  participants (blue circles). **C** Plasma p-tau181 was significantly associated with CSF p-tau181 overall and again in both  $A\beta+$  and  $A\beta-$  participants. Raw data are presented as mean  $\pm$  SD (**A**) or plotted with the 95% confidence band of the best-fit line with Pearson correlation coefficient r, and  $\beta$  estimates and p-value from linear regression models with age and sex as covariates (**B** and **C**). n=92 (CSF  $A\beta+$ ) and n=179 (CSF  $A\beta-$ )

(Fig. 2B). Further analysis revealed plasma p-tau181 also positively associated with CSF p-tau181 ( $\beta = +0.543$ , p < 0.001) (Figure 2C). This association was significant when examined in A $\beta$ + and A $\beta$ - groups separately ( $\beta = +0.515$ , p < 0.001 and  $\beta = +0.222$ , p < 0.01, respectively).

# Relationship between plasma p-tau181 concentration and amyloid PET

A subset of 37 CU, 16 MCI and 7 AD participants had undergone amyloid PET imaging with  $^{18}$ F-Florbetaben. PET A $\beta$ + participants showed significantly higher plasma p-tau181 compared to PET A $\beta$ - participants after controlling for age and sex (p < 0.001) (Fig. 3A). Examination of continuous relationships further showed that plasma p-tau181 was positively associated with global amyloid SUVR after controlling for age and sex ( $\beta$  = +0.443, p < 0.001) (Fig. 3B).

# Longitudinal change in Lumipulse plasma p-tau181 concentration

One hundred fifty-eight CU (mean follow-up: 2.05 years  $\pm$  1.03, range: 0.66 to 4.33 years), 37 MCI (1.99 years  $\pm$  0.90, range: 0.86 to 4.33 years), and 23 AD (1.86 years  $\pm$  0.71, range: 0.94 to 3.39 years) had 2 to 5 plasma follow up measurements. Plasma p-tau181 significantly increased over time for CU ( $\beta=+0.090$  pg/ml/year, p=0.016) and AD ( $\beta=+0.355$  pg/ml/year, p=0.002) diagnostic groups and increased significantly more in AD than CU individuals (Fig. 4 and Table S3). The increase in plasma p-tau181 in MCI did not differ from CU or AD. The effects were similar when additionally accounting for age and sex (Table S3).

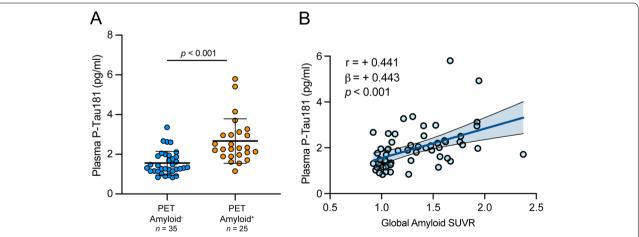
# Plasma p-tau181 concentration predicts cross-sectional cognition and function

At baseline, higher plasma p-tau181 concentration was associated with worse global cognition, assessed by MoCA, in AD ( $\beta$  (SE) = -1.661 (0.458), p < 0.001) after adjusting for age, sex, and education (Fig. 5A; Table S4). Baseline plasma p-tau181 was not significantly associated with global cognition for CU or MCI groups. The association between baseline plasma p-tau181 and baseline global cognition was significantly stronger in AD compared to CU and MCI groups, but did not differ between MCI and CU groups (Table S4). Thus, the association between plasma p-tau181 and cognition is present and most strongly observed in AD individuals.

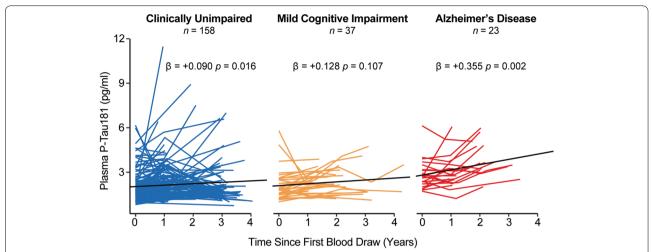
Higher plasma p-tau181 concentration at baseline was also associated with worse CDR-SB scores at baseline for MCI ( $\beta$  (SE) = +0.449 (0.225), p=0.047) and AD ( $\beta$  (SE) = +1.336 (0.196), p<0.001) groups after accounting for age, sex, and education (Fig. 5B; Table S4). This association was not significant for CU. The association between baseline plasma p-tau181 and baseline functioning was significantly stronger in AD compared to CU and MCI, and marginally significant for MCI compared to CU. Thus, plasma p-tau181 concentration is related to functional status in a stepwise manner with the strongest associations in AD then MCI and CU.

# Plasma p-tau181 concentration predicts prospective decline in cognition and function

Longitudinal global cognitive and functional decline was assessed in participants with 2 or more MoCA or CDR measurements, respectively. 149 CU (mean cognitive follow-up: 2.33 years  $\pm$  1.36, range: 0.78 to 5.31



**Fig. 3** Plasma p-tau181 associates with amyloid PET. Amyloid PET neuroimaging with Florbetaben revealed that plasma p-tau181 was significantly higher in amyloid PET+ (n=25) compared to amyloid PET- (n=35) participants (**A**) and positively associated with global amyloid PET (**B**). Raw data are presented as mean  $\pm$  SD (**A**) or plotted with the 95% confidence band of the best-fit line with Pearson correlation coefficient r, and β estimates and p-value from linear regression models with age and sex as covariates (**B**)



**Fig. 4** Plasma p-tau181 increases with time in CU and AD diagnostic groups. Change in plasma p-tau181 over time was significantly different than zero in CU and AD diagnostic groups. Shown are the *p*-values and the unstandardized regression co-efficient (β)

years), 39 MCI (2.08 years  $\pm$  1.03, range: 0.89 to 5.23 years), and 20 AD (1.90 years  $\pm$  0.91, range: 0.94 to 4.19 years) had 2 to 5 MoCA assessments. Higher baseline plasma p-tau181 concentration predicted decline in global cognition, as measured by MoCA, over time (β (SE) = -0.173 change in MoCA/year (0.087), p =0.048) and these effects were independent of clinical diagnosis and demographics. Within the diagnostic groups, a higher baseline plasma p-tau181 concentration significantly predicted decline in global cognition in MCI ( $\beta$  (SE) = -0.748 change in MoCA score/year (0.178), p < 0.001) and the association between plasma p-tau181 levels and longitudinal decline in cognition was significantly stronger in MCI compared to CU (Fig. 5C; Table S5). Plasma p-tau181 concentration did not significantly predict cognitive decline in CU or AD. There was no significant difference between AD and CU or AD and MCI. Thus, plasma p-tau181 levels significantly predicted cognitive decline and this effect was most pronounced amongst MCI.

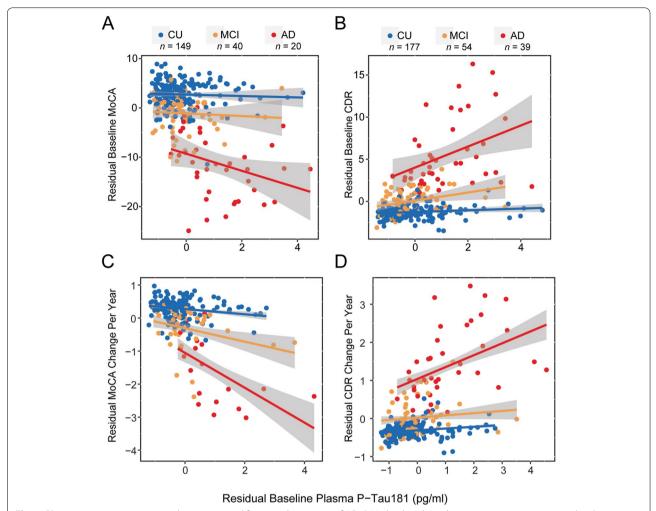
One hundred seventy-seven CU (mean follow-up: 3.42 years  $\pm$  1.36, range: 0.99 to 5.19 years), 54 MCI (2.85 years  $\pm$  1.25, range: 0.88 to 6.13 years), and 39 AD (2.65 years  $\pm$  1.33, range: 0.54 to 6.09 years) had 2 to 7 CDR assessments. Higher baseline plasma p-tau181 concentration did not significantly predict decline in function over time overall ( $\beta$  (SE) = 0.037 (0.021), p = 0.086), and these effects were independent of clinical diagnosis and demographics. However, within the diagnostic groups, higher baseline plasma p-tau181 significantly predicted decline in CDR-SB in AD ( $\beta$  (SE) = +0.300 change in CDR-SB score/year (0.060), p < 0.001) and this association was stronger in AD compared to CU and MCI

groups (Fig. 5D; Table S5). Plasma p-tau181 did not significantly predict functional decline in CU or MCI, and this association did not significantly differ between CU and MCI groups. Thus, plasma p-tau181 levels predicted functional decline amongst individuals with AD.

#### Discussion

The current study examined the diagnostic and prognostic ability of the Lumipulse plasma p-tau181 assay run on a fully-automated platform in participants spanning the AD continuum from the Stanford Alzheimer's Disease Research Center and Stanford Aging and Memory Study. Consistent with previous work, we showed that crosssectional plasma p-tau181 was increased in MCI and AD participant groups compared to the CU group. Participant stratification by CSF amyloid revealed that this observation was mainly driven by the proportion of  $A\beta$ + in each group, since it was found that the CU A $\beta$ + did not differ from MCI. Thus, plasma p-tau181 was elevated in Aβ+ subgroups and was positively associated with continuous measures of amyloid PET and CSF Aβ42/40 ratio. Plasma p-tau181 was also associated with continuous CSF p-tau181, even within the CU group. Plasma p-tau181 measured longitudinally increased significantly in the CU and AD groups. Finally, baseline plasma p-tau181 predicted prospective cognitive decline overall and functional decline in the AD group.

Our findings are also consistent with previous studies showing a gradual increase in plasma p-tau181 concentrations with progression along the AD continuum [5, 6, 10, 26]. In an analysis similar to that of the current study, the University of Gothenburg's in-house Simoa assay for plasma p-tau181 discriminated A $\beta$ + AD (n = 137)



**Fig. 5** Plasma p-tau181 associates with cognitive and functional measures of AD. **A** Higher baseline plasma p-tau181 was associated with worse baseline cognition measured by the MoCA for the AD group only and this association was significantly stronger for AD compared to CU and MCI groups. **B** Higher baseline plasma p-tau181 was associated with worse baseline functioning measured by the CDR for MCI and AD groups, and this association was significantly stronger for AD compared to CU and MCI groups. **C** Higher baseline plasma p-tau181 was associated with greater cognitive decline overall and this association was stronger for MCI than CU. **D** Higher baseline plasma p-tau181 was associated with greater functional decline in the AD group. All depicted residual values were controlled for age, sex, and education; change in MoCA and CDR per year was calculated for visualization purposes only

from A $\beta$ – CU (n=268) in Alzheimer's Disease Neuro-imaging Initiative (ADNI) participants, with an AUC = 0.853 [7]. A related study by Karikari and colleagues in the BioFINDER-2 cohort showed the assay differentiated AD from A $\beta$ – cognitively unimpaired controls with an AUC of 0.902 [26]. In the current study, ROC curve analysis revealed the Lumipulse plasma p-tau181 assay distinguished AD dementia from A $\beta$ – CU with an AUC of 0.959. Notably, the Lumipulse plasma p-tau181 assay significantly predicted CSF p-tau181 and amyloid, not only in A $\beta$ + groups, but also in A $\beta$ – groups. This suggests that Lumipulse plasma p-tau181 may be used to estimate CSF amyloid and tau levels even within lower

concentration ranges. Beyond CSF biomarkers, plasma p-tau181 also significantly predicted global amyloid PET SUVR.

Time course analysis of plasma p-tau181 in the ADNI cohort showed that it becomes abnormal 6.5 and 5.7 years after the detection of abnormal CSF and PET amyloid, respectively [27]. Yet, in familial AD plasma p-tau181 begins to increase 16 years prior to estimated symptom onset [28]. Thus, plasma p-tau181 may be a beneficial diagnostic and screening tool for AD within the wide window after amyloid has become abnormal but before clinical symptoms. One could anticipate the benefits whereby plasma p-tau181-positive individuals might

be referred for additional clinical evaluation — either through biomarker and/or neuropsychological examination — and to initiate early treatment.

Assessing plasma p-tau181 rate of change over an approximately 24-month period, we detected an overall positive change in the CU and AD groups, with the increase significantly greater in the AD group compared to the CU group. Longitudinal increases in plasma p-tau181 have been demonstrated in Alzheimer's ADNI participants, where Moscoso and colleagues showed dynamic changes in plasma p-tau181 before PET and CSF amyloid reach abnormal levels, and accelerating with increasing amyloid-β pathology [27]. Our study also demonstrated that elevated baseline Lumipulse plasma p-tau181 predicted prospective decline in cognitive measures of AD. This is consistent with results showing that baseline levels of plasma p-tau181 were associated with faster rates of memory decline over periods spanning 1 to 5 years [26, 29-31]. In this context, our results provide further support for the sensitivity of the plasma p-tau181 assay in detecting early and subtle cognitive changes in AD development. We add to previous findings by demonstrating that plasma p-tau181 is a predictor of functional decline as measured by change in CDR.

Beyond phosphorylation at Thr181, several other tau phosphorylation sites have been found to be sensitive to AD, including Thr217 [32, 33] and Thr231 [14]. Previous work has shown that plasma p-tau217 has higher discriminative accuracy for AD compared to p-tau181 [5, 34] and a higher dynamic range [35]. More recently, studies have shown plasma p-tau231 increases earlier compared to plasma p-tau181 [13, 14] and associates more strongly with tau and A $\beta$ -PET in presymptomatic individuals [11]. However, head-to-head comparison indicated that the plasma p-tau217 assay provided higher diagnostic accuracy for the diagnosis of AD dementia [35]. While it is impossible to directly compare the performance of Lumipulse plasma p-tau181 to these assays, a recent framework to validate plasma tau biomarkers for AD diagnosis has been developed [36, 37] and proper head-to-head comparisons between the various assays are currently underway. It is possible that there are subtle differences across p-tau species detected by these analysis platforms [38], raising the exciting possibility that if performed in parallel, they may provide a more complete understanding of the pathological state and better predict disease trajectory.

While this study demonstrates the high diagnostic and prognostic value of the Lumipulse plasma p-tau181 assay for AD, this study has several limitations. First, our data have been obtained from a single site at Stanford University, and therefore multi-site comparisons

will need to be performed to determine the site-tosite variability in the assay. For example, it is unlikely that our cut-points will perform well at other centers or while examining other cohorts, and it is instead more likely that center-specific cutoffs will be needed. Second, our study lacked other plasma p-tau isoforms. Notably, it would be important to investigate what additional information could be provided from plasma p-tau217 and p-tau231. Third, it will be important to assess associations between baseline plasma p-tau181 concentration and the rate of conversion to AD dementia. Finally, we did not examine race effects as our study incorporated a mostly homogeneous non-Hispanic White population. In this regard, however, implementation of plasma p-tau181 may help alleviate some of the barriers to the inclusion of more diverse populations. For example, despite recent advances in blood-based biomarkers, the recommended gold standard for AD diagnosis remains through amyloid and tau PET neuroimaging [39] and the associated cost and the required expertise for PET are often prohibitive [40]. Invasiveness of CSF collection is another barrier dissuading participants from AD research and the minimally-invasive nature of plasma sampling may further support participant recruitment [41, 42]. In these ways, plasma p-tau181 measurement may serve to improve recruitment efforts to diversify research in support of expanding equitable medicine.

To conclude, in a cohort of participants from the Stanford ADRC and Stanford Aging and Memory Study, we demonstrate that the Lumipulse plasma p-tau181 assay run on a fully-automated and high-throughput platform discriminated AD with a high degree of accuracy, associated with CSF and PET AD biomarkers, and predicted AD-related measures of prospective cognitive and functional decline. While several prototype assays have been developed to measure plasma p-tau in clinical and research settings, many are not yet commercially available — a constraint limiting widespread implementation [12]. Our results demonstrate that the Lumipulse plasma p-tau181 is a high-throughput, fullyautomated, highly scalable and accessible assay that is potentially useful for widescale early detection, diagnosis, and therapeutic monitoring of AD.

# Abbreviations

AD: Alzheimer disease; ADNI: Alzheimer's Disease Neuroimaging Initiative; ADRC: Alzheimer's Disease Research Center; AUC: Area under the curve; CDR-SB: Clinical Dementia Rating - Sum of Boxes; CI: Confidence interval; CU: Clinically unimpaired; LME: Linear mixed effects; MCI: Mild cognitive impairment; MoCA: Montreal Cognitive Assessment; NPV: Negative predictive value; p-tau: Phosphorylated tau; PET: Positron emission tomography; PPV: Positive predictive value; ROC: Receiver operating characteristic; SAMS: Stanford Aging and Memory Study; SD: Standard deviation; SUVR: Standardized uptake value ratio.

# **Supplementary Information**

The online version contains supplementary material available at https://doi.org/10.1186/s13195-022-01116-2.

**Additional file 1:Table S1.** Characteristics of Participants Stratified by Diagnosis and *APOE* Genotype.

**Additional file 2: Table S2.** Characteristics of Participants Stratified by Diagnosis and AD CSF Biomarkers.

**Additional file 3: Table S3.** Betas (standard errors) and *p* values within and between clinical groups for models examining longitudinal change in plasma p-tau181.

**Additional file 4: Table S4.** Betas (standard errors) and *p* values for models examining plasma p-tau181 and cross-sectional cognition and function.

**Additional file 5: Table S5.** Betas (standard errors) and *p* values for models examining plasma p-tau181 and longitudinal change in global cognition and function.

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#### Authors' contributions

ENW, CBY, ECM and KIA contributed to the experimental design. ENW, JRB, MSS, IF, MV and NLB analyzed biofluid biomarkers. MS, NKC, QW, BL, DC, MB, and VR contributed to data collection. ISS, KY, MVY, JFQ, CHVD, AN, CAF, GAK, TJM, SJS, GD, VWH, FML, MDG, ADW, TWC, KLP, and KIA provided the clinical data. ENW, CBY, ECM, JRB, MSS, YLG, NMK, GK, ANT, and LT analyzed data. ENW, CBY, ECM and KIA drafted the manuscript. All authors read and approved the final manuscript.

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## Availability of data and materials

Stanford ADRC and SAMS de-identified data are available to qualified researchers upon approved request to the Stanford ADRC and SAMS.

#### **Declarations**

#### Ethics approval and consent to participate

Study protocols were approved by the Institutional Review Board of Stanford University. In accordance with the Declaration of Helsinki, written informed consent was obtained from each study participant or their legally authorized representative.

## Consent for publication

NA.

## Competing interests

MV and NLB are employees of Fujirebio. The other authors declare that they have no competing interests.

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